

EDITORIAL

A brief note on randomized controlled trials and compassionate/off-label use of drugs in the early phases of the COVID-19 pandemic

Matteo Bassetti MD, PhD^{1,2}, Paolo Pelosi MD, FERS^{3,4}, Chiara Robba MD, PhD⁴, Antonio Vena MD, PhD^{1,2}, Daniele Roberto Giacobbe MD, PhD^{1,2}

¹Infectious Diseases Unit, Ospedale Policlinico San Martino – IRCCS, Genoa, Italy; ²Department of Health Sciences, University of Genoa, Genoa, Italy;

³Department of Surgical Sciences and Integrated Diagnostics, University of Genoa, Genoa, Italy;

⁴Anaesthesia and Intensive Care, San Martino Policlinico Hospital, IRCCS, Genoa, Italy

Abstract

Randomized controlled trials (RCTs) are the best way to find effective and acceptable safe treatments for COVID-19 and any possible future outbreak. However, caution is needed when comparing the number of participants in RCTs with that of patients with COVID-19 treated with compassionate and/or off-label drugs to support the hypothesis that the latter are preferred by clinicians as an alternative to the former.

Keywords: compassionate, coronavirus, COVID-19, off-label, RCT, SARS-CoV-2

Citation

Bassetti M, Pelosi P, Robba C, Vena A, Giacobbe DR. A brief note on randomized controlled trials and compassionate/off-label use of drugs in the early phases of the COVID-19 pandemic. *Drugs in Context* 2020; 9: 2020-5-2.

DOI: [10.7573/dic.2020-5-2](https://doi.org/10.7573/dic.2020-5-2)

Randomized controlled trials (RCTs) are the best way to find effective and acceptable safe treatments for COVID-19 and any possible future outbreak.¹ In this regard, the present pandemic is an unprecedented opportunity to improve our ability to guarantee participation in RCTs to as many patients as possible, in order to rapidly provide high-level evidence that can firmly improve our therapeutic approach to COVID-19 patients. Furthermore, administering unapproved/off-label drugs instead of offering participation in RCTs carries the risk of perpetuating cognitive and availability biases, which may increase the risk of violating the principle of ‘first, do not harm’.²

However, all of this should not give the wrong impression that, in real life, many COVID-19 patients were offered (or are being offered) off-label or compassionate drugs as an alternative to participation in RCTs. Indeed, based on our clinical experience during these first weeks at the bedside of COVID-19 patients, this is not true for different reasons:

- The spread of severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) has unfortunately been very fast, and participation in RCTs was not feasible when most hospitals received the first hundreds of patients.
- Local approval of RCTs correctly requires time even during an emergency to guarantee ethical standards; thus, participation in RCTs was (and is) precluded to most of the first COVID-19 patients.

- Many patients may also be precluded from participation in RCTs because of the strict exclusion criteria, even after their implementation.³
- Temporary shortages of some investigational drugs may also become of concern when dealing with rapid exponential increases in the number of potential enrollments.

Against this backdrop, we think that compassionate and/or off-label use of drugs, provided their activity against SARS-CoV-2 is supported by reliable preclinical data, still represent an ethically justifiable option for those critically ill patients excluded from RCTs who are not improving while receiving only supportive care. It is nonetheless important to note that compassionate and/or off-label drug use should not interfere with enrollment in RCTs – that is, they are not an alternative but rather an option in those situations in which participation in RCTs is impossible, which unfortunately are not uncommon. This is in line with what some of us recently reported in a narrative review detailing the current position of the Italian Society of Anti-Infective Therapy and the Italian Society of Pulmonology, in which we also highlighted that data from compassionate/off-label experiences, although being not solid enough for guiding treatment, may remain very useful for hypothesis-generating purposes that may help in fine-tuning the design of future RCTs.⁴

In conclusion, participation in RCTs should always be the first option whenever feasible. However, we advocate caution in comparing the number of participants in RCTs with that of patients treated with compassionate and/or off-label drugs to support the hypothesis that the latter are preferred by clinicians as an alternative to the former, as there are several factors

hampering a firm interpretation of the meaning of the ratio. This is especially true in the first phases of rapidly evolving pandemics like COVID-19, when RCTs are not immediately available even when rapidly implemented. Certainly, we need to increase the absolute number of participants in RCTs, independent of the denominator.

Contributions: M Bassetti, P Pelosi, and DR Giacobbe participated in the manuscript concept and design; M Bassetti and DR Giacobbe drafted the manuscript and critically revised the manuscript for important intellectual content; A Vena, C Robba, and P Pelosi critically revised the manuscript for important intellectual content. All named authors meet the International Committee of Medical Journal Editors (ICMJE) criteria for authorship for this article, take responsibility for the integrity of the work as a whole, and have given their approval for this version to be published.

Disclosure and potential conflicts of interest: Outside the submitted work, M Bassetti has received funding for scientific advisory boards, travel and speaker honoraria from Angelini, Astellas, AstraZeneca, Basilea, Bayer, BioMérieux, Cidara, Correvio, Cubist, Menarini, Molteni, MSD, Nabriva, Paratek, Pfizer, Roche, Shionogi, Tetrphase, Thermo Fisher, and The Medicine Company. Outside the submitted work, DR Giacobbe reports honoraria from Stepstone Pharma GmbH and unconditional grants from MSD Italia and Correvio Italia. No other conflicts of interests were reported. The International Committee of Medical Journal Editors (ICMJE) Potential Conflicts of Interests form for the authors is available for download at: <https://www.drugsincontext.com/wp-content/uploads/2020/05/dic.2020-5-2-COI.pdf>

Acknowledgements: None.

Funding declaration: There was no funding associated with the preparation of this article.

Copyright: Copyright © 2020 Bassetti M, Pelosi P, Robba C, Vena A, Giacobbe DR. Published by Drugs in Context under Creative Commons License Deed CC BY NC ND 4.0 which allows anyone to copy, distribute, and transmit the article provided it is properly attributed in the manner specified below. No commercial use without permission.

Correct attribution: Copyright © 2020 Bassetti M, Pelosi P, Robba C, Vena A, Giacobbe DR. <https://doi.org/10.7573/dic.2020-5-2>. Published by Drugs in Context under Creative Commons License Deed CC BY NC ND 4.0.

Article URL: <https://www.drugsincontext.com/a-brief-note-on-randomized-controlled-trials-and-compassionate-off-label-use-of-drugs-in-the-early-phases-of-the-covid-19-pandemic>

Correspondence: Matteo Bassetti, Clinica Malattie Infettive, Ospedale Policlinico San Martino – IRCCS, L.go R. Benzi 10, 16132 – Genoa, Italy. matteo.bassetti@unige.it

Provenance: submitted; externally peer reviewed.

Submitted: 6 May 2020; **Peer review comments to author:** 11 May 2020; **Revised manuscript received:** 11 May 2020;

Accepted: 11 May 2020; **Publication date:** 26 May 2020.

Drugs in Context is published by BioExcel Publishing Ltd. Registered office: Plaza Building, Lee High Road, London, England, SE13 5PT.

BioExcel Publishing Limited is registered in England Number 10038393. VAT GB 252 7720 07.

For all manuscript and submissions enquiries, contact the Editor-in-Chief gordon.mallarkey@bioexcelpublishing.com

For all permissions, rights and reprints, contact David Hughes david.hughes@bioexcelpublishing.com

References

1. Kalil AC. Treating COVID-19-off-label drug use, compassionate use, and randomized clinical trials during pandemics. *JAMA*. 2020. <https://doi.org/10.1001/jama.2020.4742>
2. Zagury-Orly I, Schwartzstein RM. Covid-19 - a reminder to reason. *N Engl J Med*. 2020. <https://doi.org/10.1056/NEJMp2009405>
3. Bassetti M, Giacobbe DR, Peghin M, Irani P. A look at clinical trial design for new antimicrobials for the adult population. *Expert Rev Clin Pharmacol*. 2019; 12:1037–1046. <https://doi.org/10.1080/17512433.2019.1680283>
4. Bassetti M, Giacobbe DR, Aliberti S, et al. Balancing evidence and frontline experience in the early phases of the COVID-19 pandemic: current position of the Italian Society of Anti-Infective Therapy (SITA) and the Italian Society of Pulmonology (SIP). *Clin Microbiol Infect*. 2020. <https://doi.org/10.1016/j.cmi.2020.04.031>