

## OD-characterization of almost simple groups related to $U_3(11)$

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**Abstract.** Let  $L := U_3(11)$ . In this article, we classify groups with the same order and degree pattern as an almost simple group related to  $L$ . In fact, we prove that  $L$ ,  $L.2$  and  $L.3$  are OD-characterizable, and  $L.S_3$  is 5-fold OD-characterizable.

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**Keywords:** prime graph, recognition, linear group, finite simple group, degree pattern

### 1. Introduction

Let  $G$  be a finite group. Denote by  $\pi(G)$  the set of all prime divisors of the order of  $G$ . The prime graph  $\Gamma(G)$  of a finite group  $G$  is a simple graph with vertex set  $\pi(G)$  in which two distinct vertices  $p$  and  $q$  are joined by an edge if and only if  $G$  has an element of order  $pq$ .

**DEFINITION 1.1** Let  $G$  be a finite group and  $|G| = p_1^{\alpha_1} \cdot p_2^{\alpha_2} \cdots p_k^{\alpha_k}$ , where  $p_1 < p_2 < \dots < p_k$ . For  $p \in \pi(G)$ , let  $\deg(p) = |\{q \in \pi(G) | p \sim q\}|$  be the degree of  $p$  in the graph  $\Gamma(G)$ , we define  $D(G) = (\deg(p_1), \deg(p_2), \dots, \deg(p_k))$ , which is called the degree pattern of  $G$ .

Given a finite group  $G$ , denote by  $h_{OD}(G)$  the number of isomorphism classes of finite groups  $S$  such that  $|G| = |S|$  and  $D(G) = D(S)$ . In terms of the function  $h_{OD}$ , groups  $G$  are classified as follows:

**DEFINITION 1.2** A group  $G$  is called  $k$ -fold OD-characterizable if there exist exactly  $k$  non-isomorphic group  $S$  such that  $|G| = |S|$  and  $D(G) = D(S)$ . Moreover, a 1-fold OD-characterizable group is simply called an OD-characterizable.

**DEFINITION 1.3** A group  $G$  is said to be an almost simple related to  $S$  if and only if  $S \trianglelefteq G \trianglelefteq \text{Aut}(S)$  for some non-abelian simple group  $S$ .

**DEFINITION 1.4** Let  $p$  be a prime number. The set of all non-abelian finite simple groups  $G$  such that  $p \in \Pi(G) \subseteq \{2, 3, 5, \dots, p\}$  is denoted by  $\mathfrak{S}_p$ . It is clear that the set of all non-abelian finite simple groups is the disjoint union of the finite sets  $\mathfrak{S}_p$  for all primes  $p$ .

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## 2. Preliminaries

For any group  $G$ , let  $w(G)$  be the set of orders of elements in  $G$ , where each possible order element occurs once in  $w(G)$  regardless of how many elements of that order  $G$  has. This set is closed and partially ordered by divisibility, hence it is uniquely determined by its maximal elements. The set of maximal elements of  $w(G)$  is denoted by  $\mu(G)$ . The number of connected components of  $\Gamma(G)$  is denoted by  $t(G)$ . Let  $\pi_i = \pi_i(G)$ ,  $1 \leq i \leq t(G)$ , be the  $i$ th connected components of  $\Gamma(G)$ . For a group of even order we let  $2 \in \pi_1(G)$ . We denote by  $\pi(n)$  the set of all prime divisors of  $n$ , where  $n$  is a natural number. Then  $|G|$  can be expressed as a product of  $m_1, m_2, \dots, m_{t(G)}$ , where  $m_i$ 's are positive integers with  $\pi(m_i) = \pi_i$ . These  $m_i$ 's are called the order components of  $G$ . We write  $OC(G) = \{m_1, m_2, \dots, m_{t(G)}\}$  and call it the set of order components of  $G$ . The set of prime graph components of  $G$  is denoted by  $T(G) = \{\pi_i(G) | i = 1, 2, \dots, t(G)\}$ .

**DEFINITION 2.1** *Let  $n$  be a natural number. We say that a finite simple group  $G$  is a simple  $K_n$ -group if  $|\pi(G)| = n$ .*

**DEFINITION 2.2** *Suppose that  $K \trianglelefteq G$  and  $G/K \cong H$ . Then we shall call  $G$  an extension of  $K$  by  $H$ .*

## 3. Elementary Results

**LEMMA 3.1** [5] *Let  $G$  be a finite group and  $|\pi(G)| \geq 3$ . If there exist prime numbers  $r, s, t \in \pi(G)$  such that  $\{tr, ts, rs\} \cap \omega(G) = \emptyset$ , then  $G$  is non-solvable.*

**DEFINITION 3.2** *A group  $G$  is called a 2-Frobenius group, if there exists a normal series  $1 \triangleleft H \triangleleft K \triangleleft G$ , such that  $K$  and  $\frac{G}{H}$  are Frobenius groups with kernels  $H$  and  $\frac{K}{H}$ , respectively.*

**LEMMA 3.3** [1] *Let  $G$  be a 2-Frobenius group of even order which has a normal series  $1 \triangleleft H \triangleleft K \triangleleft G$ , such that  $K$  and  $\frac{G}{H}$  are Frobenius groups with kernels  $H$  and  $\frac{K}{H}$ , respectively. Then*

- (1)  $t(G) = 2$  and  $T(G) = \{\pi_1(G) = \pi(H) \cup \pi(\frac{G}{K}), \pi_2(G) = \pi(\frac{K}{H})\}$ .
- (2)  $\frac{G}{K}$  and  $\frac{K}{H}$  are cyclic groups,  $|\frac{G}{K}| \mid |Aut(\frac{K}{H})|$ , and  $(|\frac{G}{K}|, |\frac{K}{H}|) = 1$ .
- (3)  $H$  is a nilpotent group and  $G$  is a solvable group.

The following lemmas are useful when dealing with a Frobenius group.

**LEMMA 3.4** [3], [8] *Let  $G$  be a Frobenius group with complement  $H$  and kernel  $K$ . Then the following assertions hold:*

- (1)  $K$  is a nilpotent group;
- (2)  $|K| \equiv 1 \pmod{|H|}$ ;
- (3) *Every subgroup of  $H$  of order  $pq$ , with  $p, q$  (not necessarily distinct) primes, is cyclic. In particular, every Sylow Subgroup of  $H$  of odd order is cyclic and a 2-Sylow subgroup of  $H$  is either cyclic or a generalized quaternion group. If  $H$  is a non-solvable group, then  $H$  has a subgroup of index at most 2 isomorphic to  $Z \times SL(2, 5)$ , where  $Z$  has cyclic Sylow  $p$ -subgroups and  $\pi(Z) \cap \{2, 3, 5\} = \emptyset$ . In particular,  $15, 20 \notin \omega(H)$ .*

**LEMMA 3.5** [1] *Let  $G$  be a Frobenius group of even order where  $H$  and  $K$  are Frobenius complement and Frobenius kernel of  $G$ , respectively. Then  $t(G) = 2$  and  $T(G) = \{\pi(H), \pi(K)\}$ .*

The structure of a finite group with non-connected prime graph is described in the following lemma.

LEMMA 3.6 [4], [9] Let  $G$  be a finite group with  $t(G) \geq 2$ . Then  $G$  is one of the following groups:

- (1)  $G$  is a Frobenius or a 2-Frobenius group;
- (2)  $G$  has a normal series  $1 \trianglelefteq H \triangleleft K \trianglelefteq G$ , such that  $H$  and  $\frac{G}{K}$  are  $\pi_1$ -groups and  $\frac{K}{H}$  is a non-abelian simple group, where  $\pi_1$  is the prime graph component containing 2,  $H$  is a nilpotent group, and  $|\frac{G}{H}| \mid |Aut(\frac{K}{H})|$ . Moreover, any odd order component of  $G$  is also an odd order component of  $\frac{K}{H}$ .

The following lemma is taken from [10].

LEMMA 3.7 Let  $S = P_1 \times P_2 \times \dots \times P_r$ , where  $P_i$ 's are isomorphic non-abelian simple groups. Then  $Aut(S) \cong (Aut(P_1) \times Aut(P_2) \times \dots \times Aut(P_r)) \cdot S_r$ .

#### 4. Main Results

THEOREM 4.1 If  $G$  is a finite group such that  $D(G) = D(M)$  and  $|G| = |M|$ , where  $M$  is an almost simple group related to  $L := U_3(11)$ , then the following assertions holds:

- (1) If  $M = L$ , then,  $G \cong L$ ,
- (2) If  $M = L.2$ , then,  $G \cong L.2$ ,
- (3) If  $M = L.3$ , then,  $G \cong L.3$ ,
- (4) If  $M = L.S_3$ , then,  $G \cong L.S_3, Z_3 \times (L.2)$  or  $Z_3.(L.2), (Z_3 \times L).Z_2, (Z_3.L).Z_2$ .

In particular,  $L, L.2$  and  $L.3$  are OD-characterizable; and  $L.S_3$  is 5-fold OD-characterizable.

Proof We break the proof into a number of separate cases:

Case 1: If  $M = L$ , then,  $G \cong L$  by [7].

Case 2: If  $M = L.2$ , then,  $G \cong L.2$ .

If  $M = L.2$ , by [2], we have  $\mu(L.2) = \{24, 37, 40, 44\}$  from which we deduce that  $D(L.2) = (3, 1, 1, 1, 0)$ . The prime graph of  $L.2$  has the following form:

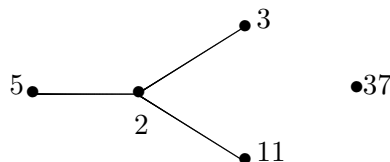


Figure 1: The prime graph of  $L.2$

As  $|G| = |L.2| = 2^6 \cdot 3^2 \cdot 5 \cdot 11^3 \cdot 37$  and  $D(G) = D(L.2) = (3, 1, 1, 1, 0)$ , then,  $\Gamma(G) = \Gamma(M) = \{2 \sim 3, 2 \sim 5, 2 \sim 11; 37\}$ .

$G$  is non-solvable. Since  $\{3 \cdot 37, 5 \cdot 37, 3 \cdot 5\} \cap \omega(G) = \emptyset$ , therefore by lemma 3.1,  $G$  is not solvable. Therefore, by lemma 3.2(iii),  $G$  is not a 2-Frobenius group.

Suppose that  $G$  is a non-solvable Frobenius group with  $H$  and  $K$  as its Frobenius complement and Frobenius kernel, respectively. Using the same notations as in lemma 3.3(iii), we obtain  $11 \in \pi(Z)$ , it follows that  $H_0$  has an element of order  $11 \cdot 5$ , a contradiction.

By lemma 3.5(ii),  $G$  has a normal series  $1 \trianglelefteq H \triangleleft K \trianglelefteq G$ , such that  $H$  is a nilpotent  $\pi_1$ -group,  $K/H$  is a non-abelian simple group and  $G/K$  is a solvable  $\pi_1$ -group. Therefore,  $K/H \leq G/H \leq Aut(K/H)$ . Since  $37 \nmid |H|$ , we have  $37 \in \pi(K/H)$ .

Therefore,  $K/H \in \mathfrak{S}_{37}$  and  $\{7, 13, 17, 19, 23, 29, 31\} \not\subseteq \pi(K/H)$ . Using [11] we listed the possibilities for  $K/H$  in Table 1.

By Table 1, we obtain that  $K/H$  isomorphic to  $A_5, A_6, L_2(11), M_{11}$  or  $L$ .

If  $K/H \cong A_5$  we get  $A_5 \leq G/H \leq \text{Aut}(A_5)$ , because  $G/H \leq \text{Aut}(K/H)$ . It follows that  $|H| = 2^4 \cdot 3 \cdot 11^3 \cdot 37$  or  $|H| = 2^3 \cdot 3 \cdot 11^3 \cdot 37$ . By nilpotency of  $H$ ,  $11 \sim 37$  in  $\Gamma(G)$ , a contradiction. Similarly, we can prove that  $K/H \not\cong A_6, L_2(11)$  or  $M_{11}$ .

Therefore,  $K/H \cong L$ . As  $|G| = 2|L|$ , we deduce  $|H| = 1$  or  $2$ .

If  $|H| = 1$ , then,  $G \cong L.2$ .

If  $|H| = 2$ , then,  $G/C_G(H) \leq \text{Aut}(H) \cong Z_2^\times = 1$ , so  $G = C_G(H)$ . Therefore,  $H \leq Z(G)$ . It follows that  $2 \sim 37$  in  $\Gamma(G)$ , a contradiction.

Table 1: Non-abelian simple group  $S \in \mathfrak{S}_{37}$  with  $\pi(S) \subseteq \{2, 3, 5, 11, 37\}$

S	$ S $	$ \text{out}(S) $	S	$ S $	$ \text{out}(S) $
$A_5$	$2^2 \cdot 3 \cdot 5$	2	$M_{11}$	$2^4 \cdot 3^2 \cdot 5 \cdot 11$	1
$A_6$	$2^3 \cdot 3^2 \cdot 5$	4	$M_{12}$	$2^6 \cdot 3^3 \cdot 5 \cdot 11$	2
$U_4(2) \cong S_4(3)$	$2^6 \cdot 3^4 \cdot 5$	2	$U_5(2)$	$2^{10} \cdot 3^5 \cdot 5 \cdot 11$	2
$L_2(11)$	$2^2 \cdot 3 \cdot 5 \cdot 11$	2	$U_3(11)$	$2^5 \cdot 3^2 \cdot 5 \cdot 11^3 \cdot 37$	6

Case 3: If  $M = L.3$ , then  $G \cong L.3$ .

If  $M = L.3$ , by [2], we have  $\mu(L.3) = \{111, 120, 132\}$  from which we deduce that  $D(L.3) = (3, 3, 2, 2, 1)$ . The prime graph of  $L.3$  has the following form:

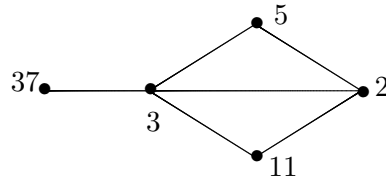


Figure 2: The prime graph of  $L.3$

As  $|G| = |L.3| = 2^5 \cdot 3^3 \cdot 5 \cdot 11^3 \cdot 37$  and  $D(G) = D(L.3) = (3, 4, 2, 2, 1)$ , then,  $\Gamma(G) = \Gamma(M) = \{2 \sim 3, 2 \sim 5, 2 \sim 11, 3 \sim 5, 3 \sim 11, 3 \sim 37\}$ .

LEMMA 4.2 *Let  $K$  be the maximal normal solvable subgroup of  $G$ . Then  $K$  is a  $\{2, 3\}$ -group. In particular,  $G$  is non-solvable.*

*Proof* First assume that  $\{5, 11\} \subseteq \pi(K)$ . Let  $H$  be a Hall  $\{5, 11\}$ -subgroup of  $K$ . It is easy to see that  $H$  is a subgroup of order  $5 \cdot 11^3$ .  $H$  is nilpotent, since  $H = H_5 \cdot H_{11}$ ,  $5 \sim 11$ , therefore  $H_5 \cap H_{11} = \{1\}$ . We have  $H_5 \trianglelefteq H$  and  $N_{11} = 11k+1 \mid |H| = 5 \cdot 11^3$ , where  $N_{11}$  is the number of 11- Sylow subgroups from  $H$ , and  $(N_{11}, 11) = 1$  then  $11k+1 \mid 5$ , hence  $k = 0$  and, by Sylow's Lemma,  $H_{11} \trianglelefteq H$ . Therefore  $H \cong H_5 \times H_{11}$  and by Thompson's Lemma, we have  $H_{11}$  is nilpotent, hence  $H$  is nilpotent.

Since  $H$  is nilpotent, which implies that  $5 \cdot 11 \in \omega(K) \subseteq \omega(G)$ , a contradiction. Thus  $\{5\} \subseteq \pi(K) \subseteq \{2, 3, 5, 37\}$ . Let  $K_5 \in \text{Syl}_5(K)$ , by Frattini argument  $G = KN_G(K_5)$ . Therefore, the normalizer  $N_G(K_5)$  contains an element of order 11, say  $x$ . Similar to  $H$  we can prove that  $\langle x \rangle K_5$  is a nilpotent subgroup of  $G$  of order  $5 \cdot 11$ . Hence  $5 \cdot 11 \in \omega(G)$ , a contradiction. Similarly, we can prove that  $\{11, 37\} \cap \pi(K) = \emptyset$ . Therefore,  $K$  is a  $\{2, 3\}$ -group. In addition, since  $K \neq G$ , it follows that  $G$  is non-solvable. This completes the proof. ■

LEMMA 4.3 *The quotient  $G/K$  is an almost simple group. In fact,  $S \leq G/K \leq \text{Aut}(S)$ , where  $S \cong L$ .*

*Proof* Let  $\bar{G} := G/K$ ,  $S := Soc(\bar{G})$ , where  $Soc(\bar{G})$  denotes the socle of the group  $\bar{G}$ , i.e., the subgroup of  $\bar{G}$  generated by the set of all the minimal normal subgroups of  $\bar{G}$ . Then,  $S \cong P_1 \times P_2 \times \dots \times P_r$ , where  $P_i$ 's are non-abelian simple groups and  $S \leq \bar{G} \leq Aut(S)$ . In what follows, we will show that  $r = 1$  and  $P_1 \cong L$ .

Suppose that  $r \geq 3$ , then, there exists distinct  $P_i$  and  $P_j$  such that  $\pi(P_i) \neq \pi(P_j)$ , because  $|G|_5 = 5$ ,  $|G|_{11} = 11^3$  and  $|G|_{37} = 37$ , where  $n_p$  denotes the  $p$ -part of the integer  $n \in N$ . If  $|\pi(P_i)| = 5$  or  $|\pi(P_j)| = 5$ , then,  $37 \in \pi(P_i)$  or  $37 \in \pi(P_j)$ . It follows that  $2.37 \in \omega(G)$ , a contradiction. Hence, without loss of generality, by Table 1, we can suppose that  $\{2, 3\} \subseteq \pi(P_i) \subseteq \{2, 3, p, q\}$  and  $\{2, 3\} \subseteq \pi(P_j) \subseteq \{2, 3, r, s\}$ , where  $\{r, s\}, \{p, q\} \subseteq \{\{5, 11\}, \{5, 37\}, \{11, 37\}\}$  and  $\{r, s\} \neq \{p, q\}$ . As  $S \cong P_1 \times \dots \times P_i \times \dots \times P_j \times \dots \times P_r$ , we have  $\{pr, ps, qr, qs\} \subseteq \omega(S)$ . Thus,  $\{pr, ps, qr, qs\} \subseteq \omega(G)$ , which is a contradiction because there exists no edge between 5, 11 and 37 in  $\Gamma(G)$ .

Hence,  $r = 2$  if  $r > 1$ . Recall that  $|G| = 2^5 \cdot 3^3 \cdot 5 \cdot 11^3 \cdot 37$  and  $S \cong P_1 \times P_2 \times \dots \times P_r$ , where  $P_i$ 's are finite non-abelian simple groups. By Table 1, we have  $5 \in \pi(P_i)$ , therefore, if  $S \cong P_i \times P_j$ , then,  $5^2 \mid |S|$ , a contradiction. Thus,  $r = 1$  and  $S = P_1$ .

By Table 1,  $\{2, 3\} \subseteq \pi(S)$  and  $\pi(Out(S)) \subseteq \{2, 3\}$ . Therefore, by Lemma 4.7, it is evident that  $|S| = 2^a \cdot 3^b \cdot 5 \cdot 11^3 \cdot 37$ , where  $2 \leq a \leq 5$  and  $1 \leq b \leq 3$ . Now, using collected results contained in Table 1, we deduce that  $S \cong U_3(11)$  and the proof is completed. ■

LEMMA 4.4  $G \cong L.3$ .

*Proof* By Lemma 4.8,  $L \leq G/K \leq Aut(L)$ . Hence,  $|K| = 1$  or 3.

If  $|K| = 1$ , then,  $G \cong L.3$ .

If  $|K| = 3$ , then,  $G/K \cong L$ . In this case we have  $G/C_G(K) \leq Aut(K) \cong Z_2$ . Thus  $|G/C_G(K)| = 1$  or 2. If  $|G/C_G(K)| = 1$ , then  $K \leq Z(G)$ . It follows that  $3 \sim 37$  in  $\Gamma(G)$ , a contradiction. If  $|G/C_G(K)| = 2$ , then  $K \subset C_G(K)$  and  $1 \neq C_G(K)/K \trianglelefteq G/K \cong L$ . Thus, we obtain  $G = C_G(K)$  because  $L$  is simple, which is a contradiction. ■

Case 4: If  $M = L.S_3$ , then,  $G \cong L.S_3, Z_3 \times (L.2), Z_3 \cdot (L.2), (Z_3 \times L).Z_2, (Z_3 \cdot L).Z_2$ .

If  $M = L.S_3$ , by [2], we have  $\mu(L.S_3) = \{111, 120, 132\}$  from which we deduce that  $D(L.S_3) = (3, 3, 2, 2, 1)$ . The prime graph of  $L.S_3$  has the following form:

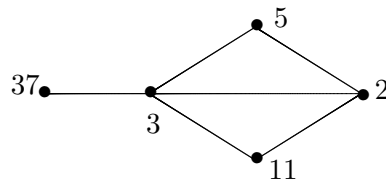


Figure 3: The prime graph of  $L.S_3$

As  $|G| = |L.S_3| = 2^6 \cdot 3^3 \cdot 5 \cdot 11^3 \cdot 37$  and  $D(G) = D(L.S_3) = (3, 4, 2, 2, 1)$ , then,  $\Gamma(G) = \Gamma(M) = \{2 \sim 3, 2 \sim 5, 2 \sim 11, 3 \sim 5, 3 \sim 11, 3 \sim 37\}$ .

Similarly to Lemma 4.7 in Case 3, we can prove that, if  $K$  be the maximal normal solvable subgroup of  $G$ , then  $K$  is a  $\{2, 3\}$ -group and  $G$  is non-solvable. Also, similarly to Lemma 4.8 in case 3, we can prove that, the quotient  $G/K$  is an almost simple group. In fact,  $S \leq G/K \leq Aut(S)$ , where  $S \cong L$ .

Now, we proof that  $G \cong L.S_3, Z_3 \times (L.2), Z_3 \cdot (L.2), (Z_3 \times L).Z_2, (Z_3 \cdot L).Z_2$ .

Since  $L \leq G/K \leq Aut(L)$ , then,  $|K| = 1, 2, 3$  or 6.

If  $|K| = 1$ , then,  $G \cong L.S_3$ .

If  $|K| = 2$ , then,  $K \leq Z(G)$ . It follows that  $2 \sim 37$  in  $\Gamma(G)$ , a contradiction.

If  $|K| = 3$ , then,  $G/K \cong L.2$ . In this case we have  $G/C_G(K) \leq Aut(K) \cong Z_2$ . Thus,  $|G/C_G(K)| = 1$  or 2. If  $|G/C_G(K)| = 1$ , then,  $K \leq Z(G)$ , i.e.,  $G$  is a

central extension of  $Z_3$  by  $L.2$ . If  $G$  splits over  $K$  we obtain  $G \cong Z_3 \times (L.2)$ , otherwise, we have  $G \cong Z_3 \cdot (L.2)$ . If  $|G/C_G(K)| = 2$ , then,  $K \subset C_G(K)$  and  $1 \neq C_G(K)/K \trianglelefteq G/K \cong L.2$ , and we obtain that  $C_G(K)/K \cong L$ . Because  $K \leq Z(C_G(K))$ ,  $C_G(K)$  is a central extension of  $K$  by  $L$ . If  $G$  splits over  $K$ , we obtain that  $C_G(K) \cong Z_3 \times L$ . Otherwise, we have  $C_G(K) = Z_3 \cdot L$ . Thus,  $G \cong (Z_3 \times L).Z_2$  or  $G \cong (Z_3 \cdot L).Z_2$ .

If  $|K| = 6$ , then,  $G/K \cong L$  and  $K \cong Z_6$  or  $S_3$ .

Subcase 1: If  $K \cong Z_6$ , then,  $G/C_G(K) \leq \text{Aut}(Z_6) = Z_6^\times \cong Z_2$  and so  $|G/C_G(K)| = 1$  or  $2$ . If  $|G/C_G(K)| = 1$ , then,  $Z_6 \cong K \leq Z(G)$ . It follows that  $2 \sim 37$  in  $\Gamma(G)$ , a contradiction. If  $|G/C_G(K)| = 2$ , then,  $K \subset C_G(K)$  and  $1 \neq C_G(K)/K \trianglelefteq G/K \cong L$ , which is a contradiction since  $L$  is simple.

Subcase 2: If  $K \cong S_3$ , then,  $K \cap C_G(K) = 1$  and  $G/C_G(K) \leq S_3$ . Thus,  $C_G(K) \neq 1$ . Hence,  $1 \neq C_G(K) \cong C_G(K)K/K \trianglelefteq G/K \cong L$ . It follows that  $L \cong G/K \cong C_G(K)$  because  $L$  is simple. Therefore,  $G \cong S_3 \times L$ , Which implies that  $2 \sim 37$  in  $\Gamma(G)$ , a contradiction. ■

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