

# Backward Orbit Conjecture for Lattès Maps

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**Abstract** For a Lattès map  $\phi: \mathbb{P}^1 \rightarrow \mathbb{P}^1$  defined over a number field  $K$ , we prove a conjecture on the integrality of points in the backward orbit of  $P \in \mathbb{P}^1(\bar{K})$  under  $\phi$ .

**Keywords:** backward orbit conjecture, Lattès maps

**Cite This Article:** Vijay Sookdeo, "Backward Orbit Conjecture for Lattès Maps." *Turkish Journal of Analysis and Number Theory*, vol. 3, no. 3 (2015): 75-77. doi: 10.12691/tjant-3-3-1.

## 1. Introduction

Let  $\phi: \mathbb{P}^1 \rightarrow \mathbb{P}^1$  be a rational map of degree  $\geq 2$  defined over a number field  $K$ , and write  $\phi^n$  for the  $n$ th iterate of  $\phi$ . For a point  $P \in \mathbb{P}^1$ , let  $\phi^+(P) = \{P, \phi(P), \phi^2(P), \dots\}$  be the forward orbit of  $P$  under  $\phi$ , and let

$$\phi^-(P) = \bigcup_{n \geq 0} \phi^{-n}(P)$$

be the backward orbit of  $P$  under  $\phi$ . We say  $P$  is  $\phi$ -preperiodic if and only if  $\phi^+(P)$  is finite.

Viewing the projective line  $\mathbb{P}^1$  as  $\mathbb{A}^1 \cup \{\infty\}$  and taking  $P \in \mathbb{A}^1(K)$ , a theorem of Silverman [4] states that if  $\infty$  is not a fixed point for  $\phi^2$ , then  $\phi^+(P)$  contains at most finitely many points in  $\mathcal{O}_K$ , the ring of algebraic integers in  $K$ . If  $S$  is the set of all archimedean places for  $K$ , then  $\mathcal{O}_K$  is the set of points in  $\mathbb{P}^1(K)$  which are  $S$ -integral relative to  $\infty$  (see section 2). Replacing  $\infty$  with any point  $Q \in \mathbb{P}^1(K)$  and  $S$  with any finite set of places containing all the archimedean places, Silverman's Theorem can be stated as: If  $Q$  is not a fixed point for  $\phi^2$ , then  $\phi^+(P)$  contains at most finitely many points which are  $S$ -integral relative to  $Q$ .

A conjecture for finiteness of integral points in backward orbits was stated in [[6], Conj. 1.2].

**Conjecture 1.1.** *If  $Q \in \mathbb{P}^1(\bar{K})$  is not  $S$ -preperiodic, then  $\phi^-(P)$  contains at most finitely many points in  $\mathbb{P}^1(\bar{K})$  which are  $S$ -integral relative to  $Q$ .*

In [6], Conjecture 1.1 was shown true for the powering map  $\phi(z) = z^d$  with degree  $d \geq 2$ , and consequently for Chebyshev polynomials. A generalized version of this conjecture, which is stated over a dynamical family of maps  $|\phi|$ , is given in [[1], Sec. 4]. Along those lines, our goal is to prove a general form of Conjecture 1.1 where  $|\phi|$  is the family of Lattès maps associate to a fixed elliptic curve  $E$  defined over  $K$  (see Section 3).

## 2. The Chordal Metric and Integrality

**2.1. The Chordal Metric on  $\mathbb{P}^N$ .** Let  $M_K$  be the set of places on  $K$  normalized so that the product formula holds: for all  $\alpha \in K^*$ ,

$$\prod_{v \in M_K} |\alpha|_v = 1.$$

For points  $P = [x_0 : x_1 : \dots : x_N]$  and  $Q = [y_0 : y_1 : \dots : y_N]$  in  $\mathbb{P}^N(\bar{K}_v)$ , define the  $v$ -adic chordal metric as

$$\Delta_v(P, Q) = \frac{\max_{i,j} (|x_i y_j - x_j y_i|_v)}{\max_i (|x_i|_v) \cdot \max_j (|y_j|_v)}.$$

Note that  $\Delta_v$  is independent of choice of projective coordinates for  $P$  and  $Q$ , and  $0 \leq \Delta_v(\cdot, \cdot) \leq 1$  (see [2]).

**2.2. Integrality on Projective Curves.** Let  $C$  be an irreducible curve in  $\mathbb{P}^N$  defined over  $K$  and  $S$  a finite subset of  $M_K$  which includes all the archimedean places. A divisor on  $C$  defined over  $\bar{K}$  is a finite formal sum  $\sum n_i Q_i$  with  $n_i \in \mathbb{Z}$  and  $Q_i \in C(\bar{K})$ . The divisor is effective if  $n_i > 0$  for each  $i$ , and its support is the set  $\text{Supp}(D) = \{Q_1, \dots, Q_\ell\}$ .

Let  $\lambda_{Q,v}(P) = -\log \Delta_v(P, Q)$  and  $\lambda_{D,v}(P) = \sum n_i \lambda_{Q_i,v}(P)$  when  $D = \sum n_i Q_i$ . This makes  $\lambda_{D,v}$  an arithmetic distance function on  $C$  (see [3]) and as with any arithmetic distance function, we may use it to classify the integral points on  $C$ .

For an effective divisor  $D = \sum n_i Q_i$  on  $C$  defined over  $\bar{K}$ , we say  $P \in C(\bar{K})$  is  $S$ -integral relative to  $D$ , or  $P$  is a  $(D, S)$ -integral point, if and only if  $\lambda_{Q_i, \sigma, \tau}(P^\tau) = 0$  for all embeddings  $\sigma, \tau: K \rightarrow \bar{K}$  and for all places  $v \notin S$ . Furthermore, we say the set  $\mathcal{R} \subset C(\bar{K})$  is  $S$ -integral relative to  $D$  if and only if each point in  $\mathcal{R}$  is  $S$ -integral relative to  $D$ .

As an example, let  $C$  be the projective line  $A^1 \cup \{\infty\}$ ,  $S$  be the Archimedean place of  $K = \mathbb{Q}$ , and  $D = \infty$ . For  $P = x/y$ , with  $x$  and  $y$  are relatively prime in  $\mathbb{Z}$ , we have  $\lambda_{D,v}(P) = -\log |y|_v$  for each prime  $v$ . Therefore,  $P$  is  $S$ -integral relative to  $D$  if and only if  $y = \pm 1$ ; that is,  $P$  is  $S$ -integral relative to  $D$  if and only if  $P \in \mathbb{Z}$ .

From the definition we find that if  $S_1 \subset S_2$  are finite subsets of  $M_K$  which contains all the archimedean places, then  $P$  is a  $(D, S_2)$ -integral point implies that  $P$  is a  $(D, S_1)$ -integral point. Similarly, if  $\text{Supp}(D_1) \subset \text{Supp}(D_2)$ , then  $P$  is a  $(D_2, S)$ -integral point implies that  $P$  is also a  $(D_1, S)$ -integral point. Therefore enlarging  $S$  or  $\text{Supp}(D)$  only enlarges the set of  $(D, S)$ -integrals points on  $C(\bar{K})$ .

For  $\phi: C_1 \rightarrow C_2$  a finite morphism between projective curves and  $P \in C_2$ , write

$$\phi^* P = \sum_{Q \in \phi^{-1}(P)} e_\phi(Q) \cdot Q$$

where  $e_\phi(Q) \geq 1$  is the ramification index of  $\phi$  at  $Q$ . Furthermore, if  $D = \sum n_i Q_i$  is a divisor on  $C$ , then we define  $\phi^* D = \sum n_i \phi^* Q_i$ .

**Theorem 2.1 (Distribution Relation).** *Let  $\phi: C_1 \rightarrow C_2$  be a finite morphism between irreducibly smooth curves in  $\mathbb{P}^N(\bar{K})$ . Then for  $Q \in C_1$ , there is a finite set of places  $S$ , depending only on  $\phi$  and containing all the archimedean places, such that  $\lambda_{P,v} \circ \phi = \lambda_{\phi^* P, v}$  for all  $v \notin S$ .*

*Proof.* See [3], Prop. 6.2b] and note that for projective varieties the  $\lambda_{\delta W \times V}$  term is not required, and that the big-O constant is an  $M_K$ -bounded constant not depending on  $P$  and  $Q$ .

**Corollary 2.2.** *Let  $\phi: C_1 \rightarrow C_2$  be a finite morphism between irreducibly smooth curves in  $\mathbb{P}^N(\bar{K})$ , let  $P \in C_1(\bar{K})$ , and let  $D$  be an effective divisor on  $C_2$  defined*

*over  $K$ . Then there is a finite set of places  $S$ , depending only on  $\phi$  and containing all the archimedean places, such that  $\phi(P)$  is  $S$ -integral relative to  $D$  if and only if  $P$  is  $S$ -integral relative to  $\phi^* D$ .*

*Proof.* Extend  $S$  so that the conclusion of Theorem 2.1 holds. Then for  $D = \sum n_i Q_i$  with each  $n_i > 0$  and  $Q_i \in C_2(\bar{K})$ , we have that.

$$\lambda_{\phi^* D, v}(P) = \lambda_{D, v}(\phi(P)) = \sum n_i \lambda_{Q_i, v}(\phi(P)).$$

So  $\lambda_{\phi^* D, v}(P) = 0$  if and only if  $\lambda_{Q_i, v}(\phi(P)) = 0$ .

### 3. Main Result

Let  $E$  be an elliptic curve,  $\psi: E \rightarrow E$  a morphism, and  $\pi: E \rightarrow \mathbb{P}^1$  be a finite covering. A *Lattès map* is a rational map  $\phi: \mathbb{P}^1 \rightarrow \mathbb{P}^1$  making the following diagram commute:

$$\begin{array}{ccc} E & \xrightarrow{\psi} & E \\ \downarrow \pi & & \downarrow \pi \\ \mathbb{P}^1 & \xrightarrow{\phi} & \mathbb{P}^1 \end{array}$$

For instance, if  $E$  is defined by the Weierstrass equation  $y^2 = x^3 + ax^2 + bx + c$ ,  $\psi = [2]$  is the multiplication-by-2 endomorphism on  $E$ , and  $\pi(x, y) = x$ , then

$$\phi(x) = \frac{x^4 - 2bx^2 + 8cx + b^2 - 4ac}{4x^3 + 4ax^2 + 4bx + 4c}.$$

Fix an elliptic curve  $E$  defined over a number field  $K$ , and for  $P \in \mathbb{P}^1(\bar{K})$  define:

$$[\phi] = \left\{ \phi: \mathbb{P}^1 \rightarrow \mathbb{P}^1 \left| \begin{array}{l} \text{there exist } K\text{-morphism } \psi: E \rightarrow E \\ \text{and finite covering } \pi: E \rightarrow \mathbb{P}^1 \text{ such} \\ \text{that } \pi \circ \psi = \phi \circ \pi \end{array} \right. \right\}$$

$$\Gamma_0 = \bigcup_{\phi \in [\phi]} \phi^+(P)$$

$$\Gamma = \left( \bigcup_{\phi \in [\phi]} \phi^+(\Gamma_0) \right) \cup \mathbb{P}^1(\bar{K})_{[\phi]\text{-preper}}$$

A point  $Q$  is  $[\phi]$ -preperiodic if and only if  $Q$  is  $\phi$ -preperiodic for some  $\phi \in [\phi]$ . We write  $\mathbb{P}^1(\bar{K})_{[\phi]\text{-preper}}$  for the set of  $[\phi]$ -preperiodic points in  $\mathbb{P}^1(\bar{K})$ .

**Theorem 3.1.** *If  $Q \in \mathbb{P}^1(\bar{K})$  is not  $[\phi]$ -periodic, then  $\Gamma$  contains at most finitely many points in  $\mathbb{P}^1(\bar{K})$  which are  $S$ -integral relative to  $Q$ .*

*Proof.* Let  $\Gamma'_0$  be the  $\text{End}(E)$ -submodule of  $E(\bar{K})$  that is finitely generated by the points in  $\pi^{-1}(P)$ , and let

$$\Gamma' = \{\xi \in E(\bar{K}) \mid \lambda(\xi) \in \Gamma'_0 \text{ for some non-zero } \lambda \in \text{End}(E)\}.$$

Then  $\pi^{-1}(\Gamma) \subset \Gamma'$ . Indeed, if  $\pi(\xi) \in \Gamma$  is not  $[\varphi]$ -preperiodic, then  $\xi$  is non torsion and  $(\phi_1 \circ \pi)(\xi) \in \Gamma_0$  for some Lattès map  $\phi_1$ . So  $(\phi_1 \circ \pi)(\xi) \in \Gamma_0$  for some morphism  $\psi_1 : E \rightarrow E$ , and this gives  $(\pi \circ \psi_1)(\xi) \in \phi_2(P)$  for some Lattès map  $\phi_2$ . Therefore  $\psi_1(\xi) \in (\pi^{-1} \circ \phi_2)(P) = (\psi_2 \circ \pi^{-1})(P)$  for some morphism  $\psi_2 : E \rightarrow E$ . Since any morphism  $\psi : E \rightarrow E$  is of the form  $\psi(X) = \alpha(X) + T$  where  $\alpha \in \text{End}(E)$  and  $T \in E_{\text{tors}}$  (see [[5], 6.19]), we find that there is a  $\lambda \in \text{End}(E)$  such that  $\lambda(\xi)$  is in  $\Gamma'_0$ , the  $\text{End}(E)$ -submodule generated by  $\pi^{-1}(P)$ . Otherwise, if  $\pi(\xi) \in \Gamma$  is  $[\varphi]$ -preperiodic, then  $\pi(E(\bar{K})_{\text{tors}}) = \mathbb{P}^1(\bar{K})_{[\varphi]\text{-preper}}$  ([[5], Prop. 6.44]) gives that  $\xi$  may be a torsion point; again  $\xi \in \Gamma'$  since  $E(\bar{K})_{\text{tors}} \subset \Gamma'$ . Hence  $\pi^{-1}(\Gamma) \subset \Gamma'$ .

Let  $D$  be an effective divisor whose support lies entirely in  $\pi^{-1}(Q)$ , let  $\mathcal{R}_Q$  be the set of points in  $\Gamma$  which are  $S$ -integral relative to  $Q$ , and let  $\mathcal{R}'_D$  be the set of points in  $\Gamma'$  which are  $S$ -integral relative to  $D$ . Extending  $S$  so that Theorem 2.1 holds for the map  $\pi : E \rightarrow \mathbb{P}^1$ , and since  $\text{Supp}(D) \subset \text{Supp}(\pi^*D)$ , we have: if  $\gamma \in \Gamma$  is  $S$ -integral relative to  $Q$ , then  $\pi^{-1}(\gamma)$  is  $S$ -integral relative to  $D$ . Therefore  $\pi^{-1}(\mathcal{R}_Q) \subset \mathcal{R}'_D$ . Now  $\pi$  is a finite map and  $\pi(E(\bar{K})) = \mathbb{P}^1(\bar{K})$ ; so to complete the proof, it suffices to show that  $D$  can be chosen so that  $\mathcal{R}'_D$  is finite.

From [[5], Prop. 6.37], we find that if  $\Lambda$  is a nontrivial subgroup of  $\text{Aut}(E)$ , then  $E/\Lambda \cong \mathbb{P}^1$  and the map

$\pi : E \rightarrow \mathbb{P}^1$  can be determine explicitly. The four possibilities for  $\pi$ , which are  $\pi(x, y) = x, x^2, x^3$ , or  $y$  correspond respectively to the four possibilities for  $\Lambda$ , which are  $\Lambda = \mu_2, \mu_4, \mu_6$ , or  $\mu_3$ , which in turn depends only on the  $j$ -invariant of  $E$ . (Here,  $\mu_N$  denotes the  $N$ th roots of unity in  $\mathbb{C}$ .)

First assume that  $\pi(x, y) \neq y$ . Since  $Q$  is not  $[\varphi]$ -preperiodic, take  $\xi \in \pi^{-1}(Q)$  to be non torsion. Then  $-\xi \in \pi^{-1}(Q)$  since  $\Lambda = \mu_2, \mu_4, \text{ or } \mu_6$ , and  $\xi - (-\xi) = 2\xi$  is non-torsion. Taking  $D = (\xi) + (-\xi)$ , [[1], Thm. 3.9(i)] gives that  $\mathcal{R}'_D$  is finite.

Suppose that  $\pi(x, y) = y$ . Then  $\pi(x, y) = \{\xi, \xi', \xi''\}$  where  $\xi + \xi' + \xi'' = 0$  and  $\xi$  is non-torsion since  $Q$  is not  $[\varphi]$ -preperiodic. Assuming that both  $\xi - \xi'$  and  $\xi - \xi''$  are torsion give that  $3\xi$  is torsion, and this contradicts the fact that  $\xi$  is torsion. Therefore, we may assume that  $\xi - \xi'$  is non-torsion. Now taking  $D = (\xi) + (\xi')$ , [[1], Thm. 3.9(i)] again gives that  $\mathcal{R}'_D$  is finite. Hence  $RQ$ , the set of points in  $\Gamma$  which are  $S$ -integral relative to  $Q$ , is finite.

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