

# Performance Evaluation of Face Recognition based on Multiple Feature Descriptors using Euclidean Distance Classifier

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## ABSTRACT

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Personal Identification based on face recognition is receiving extensive attention over the last few years in both research and real time applications due to increasing emphasis on security. In this paper, Face Recognition based on Stationary Wavelet Transform (SWT), Discrete Cosine Transform (DCT) and Local Ternary Pattern (LTP) is presented. Face images are resized. SWT and DCT are applied on face images to produce features. LTP is applied on SWT features. SWT, DCT and LTP features are concatenated to get final features. Features of test and database images are compared using Euclidean distance. It is found that Total Success Rate of the proposed system is better than existing systems.

**Keywords - Face identification, Stationary Wavelet Transform, Discrete Cosine Transform, Local Ternary Pattern, Success Rate.**

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## I. INTRODUCTION

The personal identification is an actively growing area of research. The traditional personal authentication measures such as cards and passwords may not be enough for secure authentication of human identity. Biometric traits are efficiently used for secure authentication. Voice, face, iris, retina, fingerprint, Signature are commonly used for authentication. The physiological and behavioral characteristics of human beings are measured as biometrics. These are used for uniquely recognizing humans in seamless applications such as identity access management, access control and used to identify individuals in groups for surveillance application. Face recognition is one such biometric method which authenticates individuals by face recognition. The technology has developed for automatic face recognition with artificial intelligence. Early face identification systems used simple geometric models, but the recognition process has now changed with complex mathematical modeling, tools, techniques and matching concepts. Major improvements and initiatives in the past ten years have propelled face recognition technology into the focus. Face recognition system is a computer application which automatically identifies or verifies a person based on a digital image acquired from any source. The face recognition algorithms are classified in accordance with the overall techniques used. The commonly used approaches are appearance based and geometric based. The appearance based approach extracts features by considering overall image as input and in geometric based

approach; the facial or physiological properties are elicited. The feature extraction process is normally rule based, which uses some parameters, transformations, tools or techniques to represent high dimensional image data. The important performance metrics used to analyze any algorithm are False Rejection Rate (FRR), False Acceptance Rate (FAR), Equal Error Rate (EER), Recognition Rate (RR) and Success Rate (SR).

The rest of this paper is organized as follows. Section II briefly gives overview of the related work. Section III presents the proposed model. Section IV presents the algorithm for proposed model. Section V reports performance analysis and section VI concludes the proposed work.

## II. RELATED WORK

Gurupreet and Navdeep [1] described comparative analysis of different methods for feature extraction in face identification based on Principal Component Analysis (PCA), Linear Discriminant Analysis (LDA), Back Propagation Neural Networks (BPNN), Genetic Algorithm (GA) and Support Vector Machine (SVM). Anil and Kalpana [2] proposed DCT Pyramid based human face recognition to decompose it into two distinct components namely approximation sub-band and reversed L-shaped blocks that contain very high frequency coefficient. The block based statistical measures are computed on different sub-bands provided from DCT Pyramid. This statistical measure helps to reduce the dimensional effect and to improve the performance of classification. Meng Xi et al., [3] discussed a deep

learning approach for face recognition based on Local Binary Pattern Network to extract and compare high order level over complete features in the multi order hierarchy. Prateekshit Pandey et al., [4] explained face recognition model with the concept of scattering wavelet for illicit drug abuse variations. SCATNET is deep wavelet based architecture for texture feature representation at multiple scales and orientation. Yong ping Chen et al., [5] proposed face recognition system based on extended local binary pattern. Features are extracted by LBP and PCA for reducing dimension. Sunil kumar et al., [6] explained extraction of informative regions of a face for facial expression recognition, where main intension is to extract useful features from informative regions of face where Local Binary Pattern (LBP) and IRE model will estimates the importance of sub regions. Padma Suresh et al., [7] discussed face recognition using curvelet feature extraction on JAFEE database. Patched Geodesic texture transform (PGTT) where the experiments were conducted using BUDFE. Gradient feature matching technique using single image is applied. Sushma et al., [8] proposed face recognition by using fusion of PCA and LDA for dimensionality reduction and feature extraction purpose. Zhao L hong et al., [9] described face recognition based on Local Binary Pattern (LBP) and Genetic algorithm. The illumination algorithm that reduces the impact of illumination on face by Gamma Correction, Histogram Equalization, Logarithmic transformation and Exponential transform where the system finally selects the approach based on Histogram Equalizations Nearest Neighbor (NN) and Super Vector Machine (SVM) are used for classification. Chunlei Peng et al., [10] discussed graphical representation of face recognition. The weight matrices generated from the Markov networks are regarded as graphical representations. The similarity between the weight matrices of heterogeneous face images is used for matching. A coupled representation similarity metric (CRSM) is explained to measure the similarity between graphical representations. Zhengzheng and Yong [11] proposed development of face recognition system based on PCA and LBP for Intelligent Anti-Theft Doors. The system uses LBP algorithm for extracting the facial features and PCA algorithm for reducing the dimensions of the facial features combining with Euclidian distance method for future matching which helps to realize the face recognition. Haifeng and Xiaowei [12] explained face recognition based on Mobile Phone System. It consists of three parts where the first part is to get the human face images from the mobile phone camera and then for the obtained images carry out some work like cropping, scaling, alignment, removing the illumination effect and so on to get the proper preprocessed image. The Convolution Neural Network (CNN) is used to extract the main features from face. Realization of face retrieval and matching is obtained by using Cosine Similarity and Euclidian Distance method. Fakhir et al., [13] proposed face recognition for images with various pose. The authors focus on identification and verification of an individual person when they are in the different poses. There are three main aspects that are used to get

accurate information of face identification method such as camera calibration, dimension measurement, face tracking and recognition. CMT model to measure the distance of human face to recognize it as a unique dimension is proposed. The first step of FCMT is to provide a coordinate system analysis by Haralick algorithm. Abhilasha A Patil and Lakshmi Maka [14] described about user identification based on face using LBP with ANN. Local Binary Pattern (LBP) is used to extract features of the face image. Then unknown face is tested using ANN method with the images already trained and stored in database. S Thakur et al., [15] described face recognition using principal component analysis (PCA) and radial basis function (RBF) neural networks. Experiments are conducted on two public database such as AT&T and UMIST face databases. P D Bhamre and Swati B Memane [16] described face recognition technology. The features are extracted using Singular value decomposition method (SVD). A Hidden Markov Model (HMM) is used to train the features of the images from the given set of data. Experiments are conducted using ORL standard database. Tao Wang [17] described the improved binary tree algorithm and Independent Component Analysis (ICA) to recognize the facial image. Once the removal of noise is done by applying preprocessing technique, the facial features are extracted using ICA which helps to process the vector signal of facial images and finds a linear transformation called separation matrix. Support Machine Vector is used for classification purpose to distinguish the genuine and imposter. Experiments were conducted on ORL database with different classifiers namely Cosine, BT-SVM that contain clusters data before classification. Lu et al., [18] focused on Local Binary Pattern (LBP) based color descriptor and Ternary-color Local Binary Pattern (TCLBP) methods for facial recognition that consists of combination of Intra-Channel LBP and Inter-Channel LBP. The main contribution is to propose Inter-Channel LBP feature with low dimensionality generated RGB color channels at same location. Nearest Neighbor classifier using Mahalanobis distance is used for classification purpose. Experiments are conducted on four popular databases such as Color FERET, FRGC, Georgia Tech and LFW. Masaki Nakada et al., [19] described Face Recognition approach which holds two main modules namely recognition module and a controller module. A pre-trained VGG-Face Convolution Neural Network (CNN) is used for extracting the facial features in the recognition module along with the Nearest Neighbor (NN) classifier to distinguish the genuine and imposter candidate. Controller module makes decisions based on the results obtained by the matching score level such as accept the individual, reject the image and acquire a different view point of image. Experiments were conducted on CMUPIE face database. Narayan Vetrekar et al., [20] described fused band representation with a set of selected bands in Quaternion space that in turn maintains the inter-band relationship in the spatial domain. Histograms of Oriented Gradients (HOG), Laplacian-of-Gaussian (LOG), Binarized Statistical

Image Features (BSIF) are used for feature extraction. Finally collaborative classifiers were used for classification purpose. Experiments were conducted on multi spectral face database consisting of 168 subjects using various state-of-the-art feature extraction methods. Navaneeth Bodla et al., [21] developed a deep heterogeneous feature fusion network to provide the information related to the features generated by various Deep Convolutional Neural Networks (DCNNs) for creating template based recognition. The proposed approach effectively combines the information of different deep features by generating a more descriptive template representation which preserves in feature space and by jointly learning non-linear high-dimensional projection of deep features. Ze Lu et al., [22] described the pre-trained Convolutional Neural Network (CNN) and fine-tuned CNN models, those could not provide better facial recognition rate. So to overcome the problem faced, the author proposed combination of non-CNN features with image representations learned by Convolutional Neural Networks. Experiments were conducted on LFW and FRGC datasets using pre-trained CNN method and VGG face. Menglu Wn et al., [23] developed an algorithm based on combined Local Binary Pattern (LBP) and Local non negative matrix factorization (LNMF). Histogram Normalization is used as a preprocessing approach for enhancement of facial image. LBP and LNMF are used for feature extraction and the coefficient-matrix can be obtained through test sample database. Nearest Neighbor is used for classification purpose. Experiments were conducted on two popular public ORL database and Yale database. Jesus Olivares-mercado et al., [24] developed an algorithm based on Local Binary Pattern (LBP) to recognize the face and is implemented in a smart phone with Android OS. The input facial image is captured using Smartphone and Local Binary Pattern is used for extracting the features of facial image. Euclidean Distance is used as a classifier for classify the genuine and imposter candidate. Experiments were conducted on AR face database. Ashraf S Huwedi et al., [25] described Regularized Linear Discriminant analysis method during occlusions and illumination variations. The preprocessing technique used is Histogram Equalization with de-noising filter (Haar-wavelet de-noising filter) for enhancing the facial image. Once the preprocessing approach is completed, next step is feature extraction which extracts the features of facial image by powerful Statistical Regularized Linear Discriminant Analysis (RLDA). Finally Cosine distance is used as classifier. Experiments were conducted on Extended Yale B database. Zhihan Xie et al., [26] developed an algorithm that fuses Binary Pattern (LBP) and Histogram of Oriented Gradients (HOG). Histogram Equalization is used as pre-processing normalization to enhance the quality of image. LBP is used for extracting the texture features of an infrared face and edge features from original infrared image were extracted using HOG. Finally Multiple Kernel Learning technique is used for fusing the texture features and edge features where Support Vector Machine (SVM) is used as classifier.

Experiments were conducted on the database Thermo-Vision A-40 made by FLIR Systems Inc. Yichuan Wang et al., [27] proposed a method for face recognition based on texture descriptor Local Contrast Patterns (LCP). The proposed Block based Local Contrast Patterns (BLCP) keeps the virtue of LCP that helps to obtain rich texture information in facial image. Experiments were conducted on two popular public databases namely Extended Yale B and FERET database respectively. Haoxi Li et al., [28] developed Modified Hidden Factor Analysis (MHFA) that jointly models with different aging information and for some unconstrained conditions such as pose and expressions. The MHFA model helps to separate the various age information and other pose variations. Extensive experiments were conducted on MORPH and FG-Net datasets. Jon Lin et al., [29] considered Local Binary Pattern (LBP) edge-mapped descriptor that uses maxima of gradient magnitude points (MGM). Experiments were conducted on various database such as FERET and Extended Yale face database. Kang Geon Kim et al., [30] proposed two methods to measure the landmark detection confidence namely local confidence and global confidence based on local predictors for each facial landmark and 3D rendered model respectively and also a score level fusion was also introduced to integrate above two confidences. Experiments were conducted on JANUS CS2 dataset and IJB-A dataset. Mohammed Saaidia et al., [31] developed multi-feature vectors that help to characterize the identity for face recognition. Compilation of three types of feature vectors is done. At first, feature vector related to geometric information using Zernike moments, secondly spectral components using Discrete Cosine Transform (DCT) are extracted and finally compiling the texture and illumination information using Local Binary Pattern (LBP). Then, all the individual feature vectors are compiled to form a rich feature vector that helps to undergo post-processed through feature selection method. Neural Network classifier is used for classification. Wijaya et al., [32] described Histogram Equalization approach for preprocessing that improves the quality of image. Discrete Cosine Transform (DCT) for extracting the local features. Predictive Linear Discriminant analysis (PDLDA) is used for reducing the dimensional size of facial image. K-nearest Neighbor is used as a classifier for verification. Experiments were conducted on ITS database, ORL and IND face database. Ismahane Cheheb et al., [33] described patch based face recognition mechanism. Initially, the images are partitioned into a number of patches. Local Binary Pattern is used for extracting the features of the image patches. KPCA is employed to reduce the dimensionality size of a patch based image. Once the features were extracted then feature vectors of the patched images were normalized before the random sampling procedure is applied. Multiple Support Vector Machine classifiers were used for verification purpose. Experiments were conducted on AR face database. Huma Qayyum et al., [34] explained Stationary Wavelet Transform (SWT) to extract the local features of face due to its better localization characteristics both in spectral and spatial domains.

Neural Network is used as a classifier. Experiments were conducted on JAFFE, CK+ and MS-Kinect datasets. M Sharmila Kumari and Swathi Salian [35] explained a fusion of Discrete Cosine Transform (DCT) and Principal Component Analysis (PCA) to extract the facial features. Experiments were conducted on ORL, UMIST and real datasets. Nishat Banu Nayakwadi et al., [36] described Local Ternary Pattern (LTP) and signed bit multiplication to extract the local features of face. Test images are compared with the training images using Euclidean Distance classifier. Experiments were conducted on ORL face database.

### III. PROPOSED MODEL

In this section, proposed model of face recognition is discussed and is given in Figure 1. The face images are read from the database and preprocessing is performed to get the desired part of the face and exclude the unwanted information. The desired features are extracted using SWT, DCT and LTP methods. The matching between the database image and test image is done using Euclidean distance.

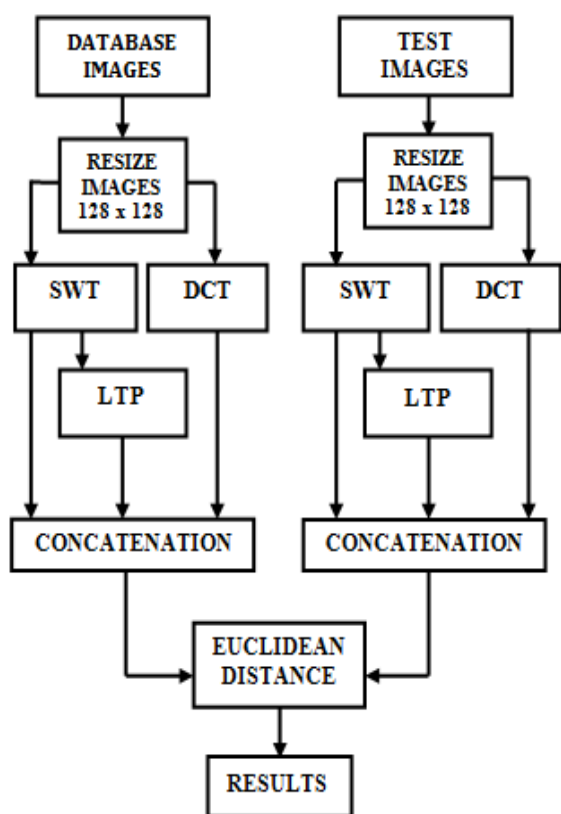


Fig 1. Model of the proposed face recognition system

The input face images are resized to 128\*128 for making all the images of same size. The Stationary Wavelet Transform (SWT) and Discrete Cosine Transform (DCT) are applied separately on the preprocessed images to get

the corresponding coefficients. The Local Ternary Pattern (LTP) is applied on the SWT coefficients. Then SWT, LTP and DCT coefficients are concatenated to obtain final features. The database and test final features are compared using Euclidean distance (ED) to compute performance parameters.

### 3.1 Face Databases

The Various face databases such as Indian Female, ORL and Combined face database are used to test the proposed model for performance analysis.

#### 3.1.1 Indian Female Database

The Indian Female database [37] has 11 persons with 22 images per person i.e., totally it has 240 images. The face images are captured with different facial emotional expressions based on facial orientations. The samples of face image of a person with each image of size 640\*480 are shown in Figure 2.

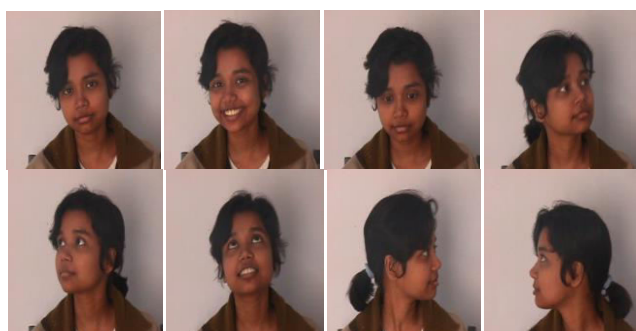


Fig 2: Samples of Indian Female Images

#### 3.1.2 Olivetti Research Laboratory Database (ORL)

The ORL database [38] has 40 persons with 10 images per person i.e., totally it has 400 images. The face images are captured with different facial emotional expressions based on facial orientations and lighting conditions. The samples of face image of a person with each image of size 92\*112 are shown in Figure 3.



Fig 3: Samples of ORL Images

#### 3.1.3 Combined Face Database

The Combined face database [39] has 120 persons with 19 images per person i.e., totally it has 2280 images. The face images are captured with different facial expressions of a person. The samples of face images of a person with each image of size 380\*280 with BMP format are shown in Figure 4.



Fig 4: Samples of Combined face Image

### 3.2 Stationary Wavelet Transform (SWT) [34]

Wavelet Transform is basically used in feature detection of MRI, signal de-noising, pattern recognition and brain image classification. However, the discrete wavelet transform (DWT) is lack of translation variant property which can be nullified by using Stationary Wavelet Transform (SWT). In SWT even if the signal is shifted, the transformed coefficient will not change and also performs better in de-noising and edge detecting. In contrast to DWT, SWT can be applied to any arbitrary size of images rather than size of power of two and has shown better fusion performance in medical and other images. SWT is similar to DWT and is commonly known as algorithm “trous”. Translation invariance is achieved by removing the down samples and up samples in the DWT and up sampling the coefficients by the factor of  $2^{j-1}$  in the  $j$ th level of the algorithm. The SWT is redundant technique as the output of each level of SWT contains the same number of samples as input and improves the resolution of edges details with three groups of wavelet co-efficients. The disadvantage of Shift-Variant nature of discrete wavelet transform due to decimation is eliminated in Stationary Wavelet Transform (SWT). The input signal is passed through low pass and high pass filter to obtain significant and detailed information without decimation. The number of co-efficients in each sub-band is same as that of input signal due to no decimation. The input Image of  $M \times N$  is passed through low-pass filter and high-pass filter and the corresponding outputs are also having dimensions of  $M \times N$ . The outputs of low-pass filter and high-pass filter are further passed through low pass and high-pass filter to obtain four sub-bands viz., LL, LH, HL and HH as shown in Figure 5.

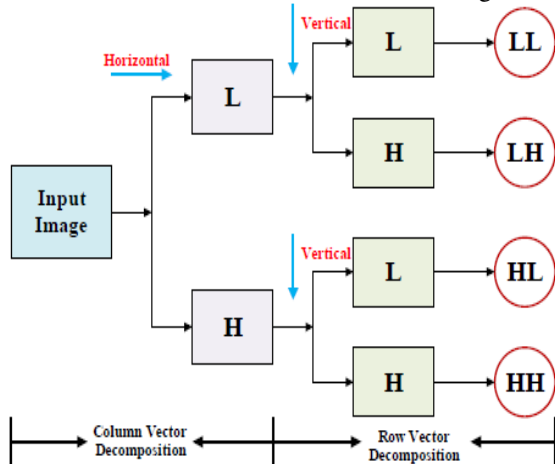


Fig 5: SWT Decomposition

The dimensions of all four sub-bands are equivalent to input image. The SWT is applied on face image and the corresponding sub-band images are shown in Figure 6.



(a) Original image (128\*128)



(b) LL Band (c) LH Band (d) HL Band (e) HH Band

Fig 6: SWT four sub-band images

The LL band image is almost same as that of the original image and has significant information of the original image. The LH band image has horizontal details of the original image. The HL band image has vertical details of the original image. The HH band image has diagonal details of the original image. For a  $2 \times 2$  matrix where LL–Approximation Band, LH–Horizontal Band, HL–Vertical Band and LL–Diagonal Band are calculated using the equation 1.

$$A = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$$

$$LL = \frac{a+b+c+d}{2} \quad LH = \frac{a+b-c-d}{2}$$

$$HL = \frac{a-b+c-d}{2} \quad HH = \frac{a-b-c+d}{2}$$

.....(1)

### 3.3 Discrete Cosine Transform(DCT) [35]

Discrete Cosine Transform (DCT) produces coefficients that are concentrated in the low frequency region. Therefore, it is said to have very good energy compaction properties. The 2D discrete cosine transform  $X(q_1, q_2)$  of an image or 2D signal  $x(m_1, m_2)$  of size  $M_1 \times M_2$  is defined by the Equation 2.

$$X(q_1, q_2) = \alpha(q_1) \alpha(q_2) \sum_{m_1=0}^{M_1-1} \sum_{m_2=0}^{M_2-1} x(m_1, m_2) \cos\left(\frac{\pi(2m_1+1)q_1}{2M_1}\right) \cos\left(\frac{\pi(2m_2+1)q_2}{2M_2}\right)$$

$$\text{Where } \begin{cases} 0 \leq q_1 \leq M_1-1 \\ 0 \leq q_2 \leq M_2-1 \end{cases} \dots\dots\dots(2)$$



(a) Original Image



(b) DCT Output

Fig 7: Processed DCT Image

### 3.4 Local Ternary Pattern (LTP) [36]

LTP is a ternary or 3-valued code. In LTP the neighborhood pixel values are compared with central pixel. LTP makes use of a threshold constant 't' to threshold pixel values into three different code values that is 0, 1 and -1 and is defined by the Equation 3.

$$LTP = S(P_i, i_c) = \begin{cases} 1, & \text{when } P_i \geq (i_c + t) \\ 0, & \text{when } |P_i - i_c| < t \\ -1, & \text{when } P_i \leq (i_c - t) \end{cases} \dots\dots\dots(3)$$

Let us Consider a 3x3 matrix of a face grey scale image.

$$S = \begin{bmatrix} P_3 & P_2 & P_1 \\ P_4 & i_c & P_0 \\ P_5 & P_6 & P_7 \end{bmatrix} \dots\dots\dots(4)$$

Where  $i_c$  is central pixel intensity value,  $P_i$  is neighboring pixel intensity value  $t$  is the threshold constant. Threshold values can opt any of the value between 0 and 10, and threshold value basically suggests the covering of essential information or rather retaining the information. The face is the smooth structure. Choosing the wrong threshold value to threshold the pixels shall lead to loss of information. LTP encoding is illustrated in Figure 8 with  $t=5$ .

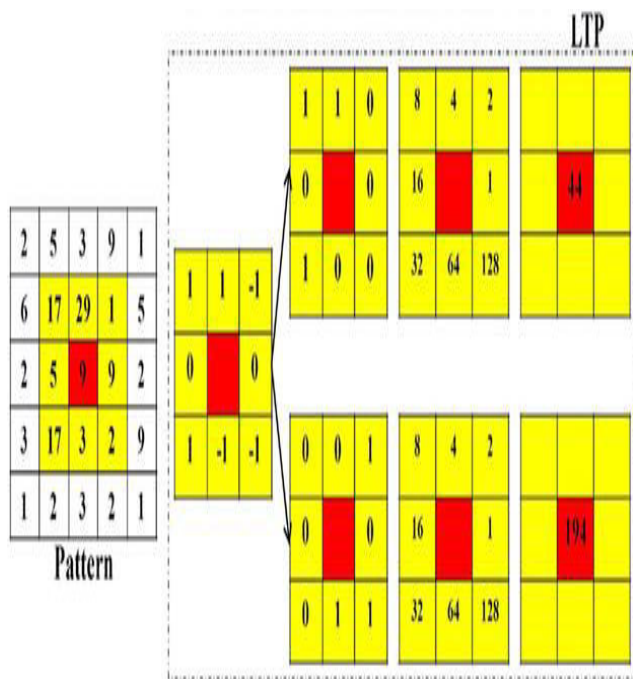


Fig 8: Illustration of transitions of LTP with  $t=5$ .

Consider the 3x3 matrix with central pixel  $i_c$  value=9. As the pattern uses Equation 4 to compute the ternary values, it is followed as  $(i_c+t) = (9+5) =14$  and  $(i_c - t) = (9-5) = 4$ .

- i)  $5 < 14$  as well as  $5 > 4$  so coded as 0
- ii)  $17 > 14$  so coded as 1
- iii)  $29 > 14$  so coded as 1

- iv)  $1 < 4$  so coded as -1
- v)  $9 > 4$  as well as  $9 < 14$  so coded as 0
- vi)  $2 < 4$  so coded as -1
- vii)  $3 < 4$  so coded as -1
- viii)  $17 > 14$  so coded as 1

Thus the ternary coding of a 3x3 matrix as shown in the Figure 8 is coded as 0(-1)1101(-1)(-1). The LTP produces Upper pattern and Lower pattern as shown in Figure 8.

- For upper pattern, value 1 is retained the same while values 0 and -1 are made 0.

The ternary coded value 0(-1)1101(-1)(-1) is now coded using equation 4 and the binary value is 00110100 for upper pattern, this binary value is combined with reordering vector 1 2 4 8 16 32 64 128 and the result obtained as 44.

$$(0 \times 1 + 0 \times 2 + 1 \times 4 + 1 \times 8 + 0 \times 16 + 1 \times 32 + 0 \times 64 + 0 \times 128 = 44).$$

- For lower pattern, value -1 is retained the same while values 0 and 1 made 0

The ternary coded value 0(-1)1101(-1) (-1) is now coded using equation 4 and the binary value is 01000011 for lower pattern, this binary value is combined with reordering vector 1 2 4 8 16 32 64 128 and the result obtained as 194.

$$(0 \times 1 + 1 \times 2 + 0 \times 4 + 0 \times 8 + 0 \times 16 + 0 \times 32 + 1 \times 64 + 1 \times 128 = 194).$$

### 3.5 Euclidean Distance (ED)

Euclidean distance is used as a classifier for matching. It is also called Pythagorean distance. The minimum Euclidean distance gives the similarity between the unknown face image that is being tested and the ones in the database. In Cartesian coordinates, if  $p = (p_1, p_2, p_3, \dots, p_n)$  and  $q = (q_1, q_2, q_3, \dots, q_n)$  are two points in Euclidean space, then the distance from  $p$  to  $q$  is given by the formula defined by Equation 5.

$$d(p, q) = \sqrt{(q_1 - p_1)^2 + (q_2 - p_2)^2 + \dots + (q_n - p_n)^2} \dots\dots\dots(5)$$

## IV. PROPOSED FACE MODEL

### 4.1. Problem Definition:

The proposed biometric algorithm using SWT, DCT and LTP in which the authenticity of the test face image is verified to identify a person effectively.

### 4.2. Objectives:

The objectives of the proposed algorithm are as follows:

- i) Increase the Total Success Rate (TSR).
- ii) Reduce the Error Rates.

Table 1. Proposed algorithm (SWT, DCT and LTP)

<p><i>Input:</i> Face images from different database such as Indian Female, ORL, and Combined database.</p> <p><i>Output:</i> Recognition of face images and Performance Parameters.</p> <p><i>Step 1:</i> Face image is read from the database.</p> <p><i>Step 2:</i> Colored image is converted into grayscale.</p> <p><i>Step 3:</i> Image is resized to 128*128.</p> <p><i>Step 4:</i> Apply DCT and SWT on resized image.</p> <p><i>Step 5:</i> Apply lower pattern of LTP on the first sub-band image of SWT.</p> <p><i>Step 6:</i> Concatenation is performed on the output of patterns of LTP, SWT, and DCT.</p> <p><i>Step 7:</i> Repeat step 1 to 6 for test image.</p> <p><i>Step 8:</i> Test image features are compared with Concatenated features using Euclidean Distance.</p> <p><i>Step 9:</i> If Euclidean Distance is less than threshold value, then the image is considered as Genuine image. Otherwise, the image is treated as Imposter image.</p>
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**V. PERFORMANCE ANALYSIS**

In this section, the definitions of performance parameters and performance analysis of proposed model are discussed. The proposed face recognition model is tested on Indian Female, ORL and combined face database for varying PID and POD combinations and results are discussed as follows. The percentage values of performance parameters such as EER, optimum and maximum TSR of the proposed system for Indian Female, ORL and Combined face database are computed for different combinations of PID and PODs. It is observed that the results are improved for increasing number of persons outside the database.

**5.1 Definitions of Performance Parameters**

**5.1.1 False Acceptance Rate (FAR):**

The number of unauthorized persons is accepted as authorized persons. It is the ratio of the number of unauthorized persons accepted to the total number of persons in the outside database and given in Equation 6.

$$FAR = \frac{\text{Number of unauthorized persons accepted}}{\text{Total number of persons out of database}} \dots\dots\dots(6)$$

**5.1.2 False Rejection Rate (FRR):**

The number of authorized persons rejected as unauthorized person. It is the ratio of number of authorized persons rejected to the total number of persons in the database as given in Equation 7.

$$FRR = \frac{\text{Number of persons rejected}}{\text{Total Number of persons in database}} \dots\dots\dots(7)$$

**5.1.3 True Success Rate (TSR):**

The number of authorized persons recognized correctly in the database. It is the ratio of number of persons correctly matched to the total number of persons in the database and is given in Equation 8.

$$TSR = \frac{\text{Number of persons correctly matched}}{\text{Total number of persons in the database}} \dots\dots\dots(8)$$

**5.1.4 Equal Error Rate (EER):**

It is the measure of trade-off between FAR and FRR and is given in Equation 9.

$$EER = FAR - FRR \dots\dots\dots(9)$$

**5.2 Analysis of Performance Parameters**

The performance parameters such as FAR, FRR, TSR and EER for different databases such as Indian Female ORL and Combined face database are discussed in detail for the proposed model.

**5.2.1 Analysis with Indian Female database:**

The percentage variation of FAR, FRR and TSR with threshold for Indian female database is given in table 2. The percentage values of FAR and TSR increases from 0 to maximum value as threshold increases. The percentage value of FRR decreases from 100 to 0 as threshold values increases. The maximum success rate of the proposed algorithm for Indian female database is 100% at a threshold of 14. The percentage variation of FAR and FRR with threshold for Indian female database is shown in Figure 9. As threshold value increases, the values of FAR and FRR decreases and increases respectively. It is observed that the value of EER is 0.09 for the threshold value of 12.6.

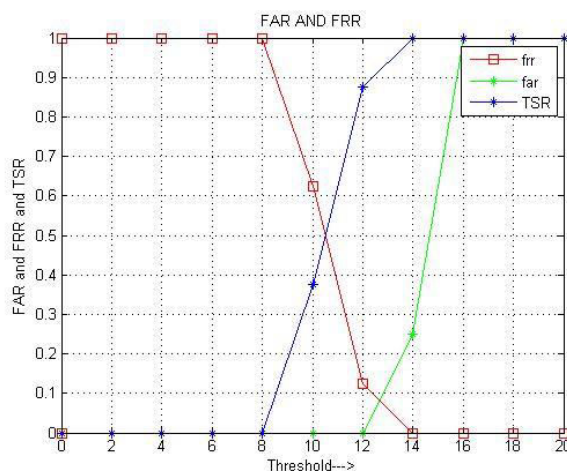


Fig 9: Variations of performance parameters with threshold for PID and POD of 8 and 8

Table 2. Percentage Variations of FAR, FRR and TSR with Threshold for PID and POD of 8 and 8

8:8 THRESHOLD	%Max TSR	FRR	FAR
0	0	1	0
2	0	1	0
4	0	1	0
6	0	1	0
8	0	1	0
10	38	0.6	0
12	88	0.1	0
14	100	0	0.25
16	100	0	1
18	100	0	1
20	100	0	1

Table 3 shows the percentage values of FAR and TSR increases from 0 to maximum value as threshold increases. The percentage value of FRR decreases from 100 to 0 as threshold values increases. The maximum success rate of the proposed algorithm for Indian female database is 100% at a threshold of 14. Its graphical representation is shown in Figure 10. It is observed that the value of EER is 0.13 for the threshold value of 13.

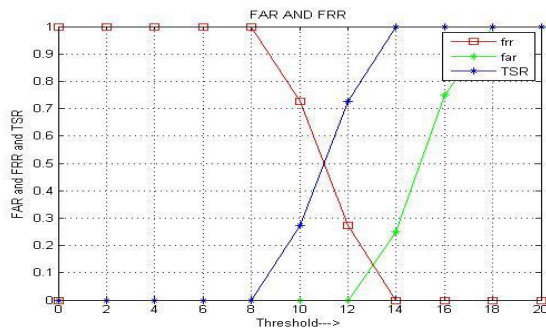


Fig 10: Variations of performance parameters with threshold for PID and POD of 11 and 8

Table 3. Percentage Variations of FAR, FRR and TSR with Threshold for PID and POD of 11 and 8

11:8 THRESHOLD	%Max TSR	FRR	FAR
0	0	1	0
2	0	1	0
4	0	1	0
6	0	1	0
8	0	1	0
10	28	0.7	0
12	72	0.28	0
14	100	0	0.25
16	100	0	0.75
18	100	0	1
20	100	0	1

Table 4 shows the percentage values of FAR and TSR increases from 0 to maximum value as threshold increases. The percentage value of FRR decreases from 100 to 0 as

threshold values increases. The maximum success rate of the proposed algorithm for Indian female database is 95% at a threshold of 14. Its graphical representation is shown in Figure 11. It is observed that the value of EER is 0.15 for the threshold value of 13.2.

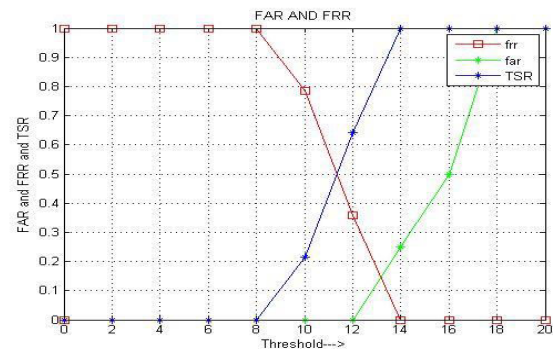


Fig 11: Variations of performance parameters with threshold for PID and POD of 14 and 8

Table 4. Percentage Variations of FAR, FRR and TSR with Threshold for PID and POD of 14 and 8

14:8 THRESHOLD	%Max TSR	FRR	FAR
0	0	1	0
2	0	1	0
4	0	1	0
6	0	1	0
8	0	1	0
10	21	0.81	0
12	65	0.37	0
14	100	0	0.25
16	100	0	0.5
18	100	0	1
20	100	0	1

Table 5 shows the percentage values of FAR and TSR increases from 0 to maximum value as threshold increases. The percentage value of FRR decreases from 100 to 0 as threshold values increases. The maximum success rate of the proposed algorithm for Indian female database is 100% a threshold of 14.

Its graphical representation is shown in Figure 12. It is observed that the value of EER is 0.08 for the threshold value of 12.7.

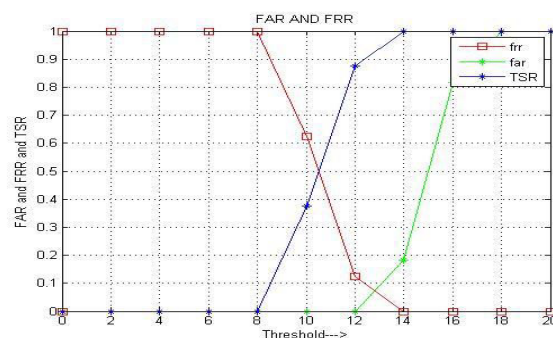


Fig 12: Variations of performance parameters with threshold for PID and POD of 8 and 11



Table 5. Percentage Variations of FAR, FRR and TSR with Threshold for PID and POD of 8 and 11

8:11 THRESHOLD	%Max TSR	FRR	FAR
0	0	1	0
2	0	1	0
4	0	1	0
6	0	1	0
8	0	1	0
10	38	0.6	0
12	88	0.1	0
14	100	0	0.18
16	100	0	0.85
18	100	0	1
20	100	0	1

Table 6 shows the percentage values of FAR and TSR increases from 0 to maximum value as threshold increases. The percentage value of FRR decreases from 100 to 0 as threshold values increases. The maximum success rate of the proposed algorithm for Indian female database is 100% a threshold of 14. Its graphical representation is shown in Figure 13. It is observed that the value of EER is 0.05 for the threshold value of 12.9.

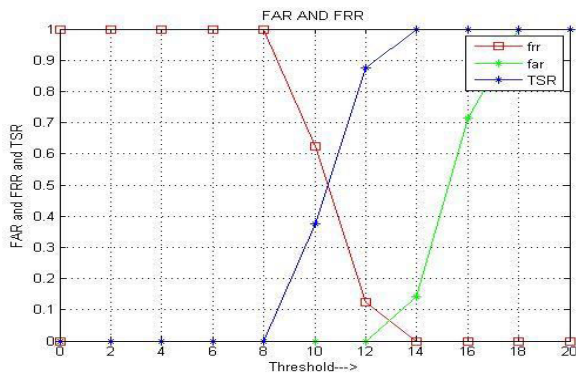


Fig 13: Variations of performance parameters with threshold for PID and POD of 8 and 14

Table 6. Percentage Variations of FAR, FRR and TSR with Threshold for PID and POD of 8 and 14

8:14 THRESHOLD	%Max TSR	FRR	FAR
0	0	1	0
2	0	1	0
4	0	1	0
6	0	1	0
8	0	1	0
10	38	0.6	0
12	88	0.1	0
14	100	0	0.15
16	100	0	0.7
18	100	0	1
20	100	0	1

The performance parameters such as percentage maximum TSR, optimum TSR and EER values are tabulated for variations in POD keeping PID constant at 8, is given in

table 7. The maximum TSR values are constant at 100 for variations in POD. The percentage OTSR and EER values increases and decreases for increase in POD values from 8 to 14 keeping PID constant at 8.

Table 7. Variations of performance parameters with variations in POD for constant PID at 8

PID	POD	% MAX TSR	% OPT TSR	EER
8	8	100	91	9
8	11	100	92	8
8	14	100	95	5

The performance parameters such as percentage maximum TSR, optimum TSR and EER values are tabulated for variations in PID keeping POD constant at 8, is given in table 8. The maximum TSR values are constant at 100 for variations in PID in case of PID=8 and 11, while maximum TSR is 95 for PID of 14. The percentage OTSR and EER values increases and decreases for increase in POD values from 8 to 14 keeping POD constant at 8.

Table 8. Variations of performance parameters with variations in PID for constant POD at 8

PID	POD	% MAX TSR	% OPT TSR	EER
8	8	100	91	9
11	8	100	87	13
14	8	95	85	15

### 5.2.2 Analysis with ORL database:

The percentage variation of FAR, FRR and TSR with threshold for ORL database is given in table 9. The percentage values of FAR and TSR increases from 0 to maximum value as threshold increases. The percentage value of FRR decreases from 100 to 0 as threshold values increases. The maximum success rate of the proposed algorithm for ORL database is 100% at a threshold of 20. The percentage variation of FAR and FRR with threshold for ORL database is shown in Figure 14. As threshold value increases, the percentage values of FAR and FRR decreases and increases respectively. It is observed that the value of EER is 0.17 for the threshold value of 16.8.

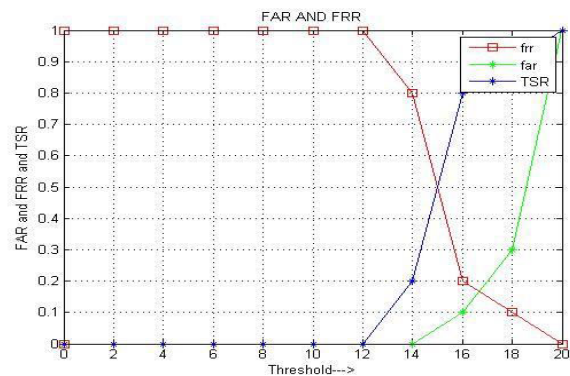


Fig 14: Variations of performance parameters with threshold for PID and POD of 10 and 10

Table 9. Percentage Variations of FAR, FRR and TSR with Threshold for PID and POD of 10 and 10

10:10 THRESHOLD	%Max TSR	FRR	FAR
0	0	1	0
2	0	1	0
4	0	1	0
6	0	1	0
8	0	1	0
10	0	1	0
12	0	1	0
14	20	0.8	0
16	80	0.2	0.1
18	90	0.1	0.3
20	100	0	1

Table 10 shows the percentage values of FAR and TSR increases from 0 to maximum value as threshold increases. The percentage value of FRR decreases from 100 to 0 as threshold values increases. The maximum success rate of the proposed algorithm for ORL database is 100% at a threshold of 20. Its graphical representation is shown in Figure 15. It is observed that the value of EER is 0.16 for the threshold value of 16.9.

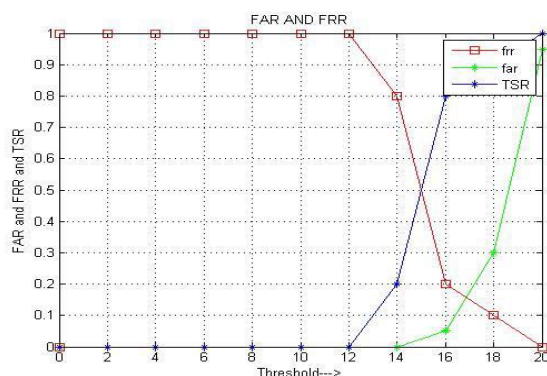


Fig 15: Variations of performance parameters with threshold for PID and POD of 10 and 20

Table 10. Percentage Variations of FAR, FRR and TSR with Threshold for PID and POD of 10 and 20

10:20 THRESHOLD	%Max TSR	FRR	FAR
0	0	1	0
2	0	1	0
4	0	1	0
6	0	1	0
8	0	1	0
10	0	1	0
12	0	1	0
14	20	0.8	0
16	80	0.2	0.02
18	90	0.1	0.54
20	100	0	1

Table 11 shows the percentage values of FAR and TSR increases from 0 to maximum value as threshold increases. The percentage value of FRR decreases from 100 to 0 as

threshold values increases. The maximum success rate of the proposed algorithm for ORL database is 100% at a threshold of 18. Its graphical representation is shown in Figure 16. It is observed that the value of EER is 0.20 for the threshold value of 16.7.

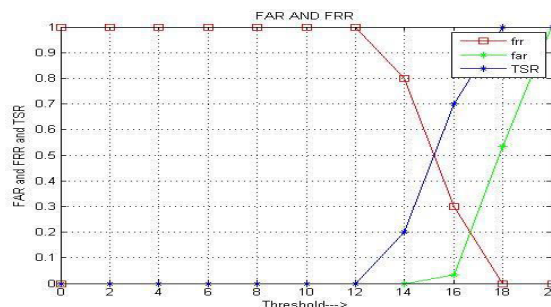


Fig 16: Variations of performance parameters with threshold for PID and POD of 10 and 30

Table 11. Percentage Variations of FAR, FRR and TSR with Threshold for PID and POD of 10 and 30

10:30 THRESHOLD	%Max TSR	FRR	FAR
0	0	1	0
2	0	1	0
4	0	1	0
6	0	1	0
8	0	1	0
10	0	1	0
12	0	1	0
14	20	0.8	0
16	70	0.3	0.12
18	100	0	0.55
20	100	0	1

Table 12 shows the percentage values of FAR and TSR increases from 0 to maximum value as threshold increases. The percentage value of FRR decreases from 100 to 0 as threshold values increases. The maximum success rate of the proposed algorithm for ORL database is 85% at a threshold of 20. Its graphical representation is shown in Figure 17. It is observed that the value of EER is 0.27 for the threshold value of 17.2.

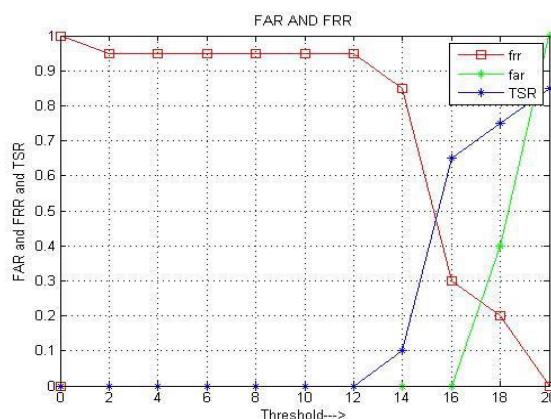


Fig 17: Variations of performance parameters with threshold for PID and POD of 20 and 10

Table 12. Percentage Variations of FAR, FRR and TSR with Threshold for PID and POD of 20 and 10

20:10 THRESHOLD	%Max TSR	FRR	FAR
0	0	1	0
2	0	0.95	0
4	0	0.95	0
6	0	0.95	0
8	0	0.95	0
10	0	0.95	0
12	0	0.95	0
14	10	0.85	0
16	65	0.3	0
18	75	0.2	0.4
20	85	0	1

Table 13 shows the percentage values of FAR and TSR increases from 0 to maximum value as threshold increases. The percentage value of FRR decreases from 100 to 0 as threshold values increases. The maximum success rate of the proposed algorithm for ORL database is 83.33% at a threshold of 20. Its graphical representation is shown in Figure 18. It is observed that the value of EER is 0.28 for the threshold value of 16.7.

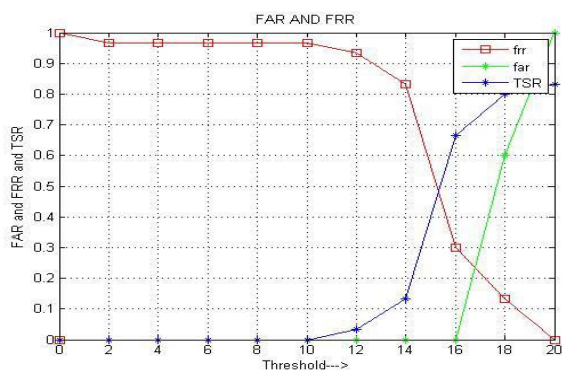


Fig 18: Variations of performance parameters with threshold for PID and POD of 30 and 10

Table 13. Percentage Variations of FAR, FRR and TSR with Threshold for PID and POD of 30 and 10

30:10 THRESHOLD	%Max TSR	FRR	FAR
0	0	1	0
2	0	0.95	0
4	0	0.95	0
6	0	0.95	0
8	0	0.95	0
10	0	0.95	0
12	5	0.93	0
14	15	0.82	0
16	68	0.3	0
18	80	0.12	0.6
20	82	0	1

The performance parameters such as percentage maximum TSR, optimum TSR and EER values are tabulated for

variations in POD keeping PID constant at 10, is given in table 14. The maximum TSR values are constant at 100 for variations in POD. The percentage OTSR and EER values increases and decreases for increase in POD values from 10 to 30 keeping PID constant at 10.

Table 14. Variations of performance parameters with variations in POD for constant PID at 10

PID	POD	% MAX TSR	% OPT TSR	EER
10	10	100	83	17
10	20	100	84	16
10	30	100	80	20

The performance parameters such as percentage maximum TSR, optimum TSR and EER values are tabulated for variations in PID keeping POD constant at 10, is given in table 15. The maximum TSR values are varying with 100 for variations in PID of 10, 85 for variations in PID of 20 and 83.33 for variations in PID of 30. The percentage OTSR and EER values increases and decreases for increase in PID values from 10 to 30 keeping POD constant at 10.

Table 15. Variations of performance parameters with variations in POD for constant PID at 10

PID	POD	% MAX TSR	% OPT TSR	EER
10	10	100	83	17
20	10	85	73	27
30	10	83.33	72	28

### 5.2.3 Analysis with Indian Female database:

The percentage variation of FAR, FRR and TSR with threshold for combined face database is given in table 16. The percentage values of FAR and TSR increases from 0 to maximum value as threshold increases. The percentage value of FRR decreases from 100 to 0 as threshold values increases. The maximum success rate of the proposed algorithm for combined face database is 100% at a threshold of 14. The percentage variation of FAR and FRR with threshold for combined face database is shown in Figure 19. As threshold value increases, the percentage values of FAR and FRR decreases and increases respectively. It is observed that the value of EER is zero for the threshold value of 14.

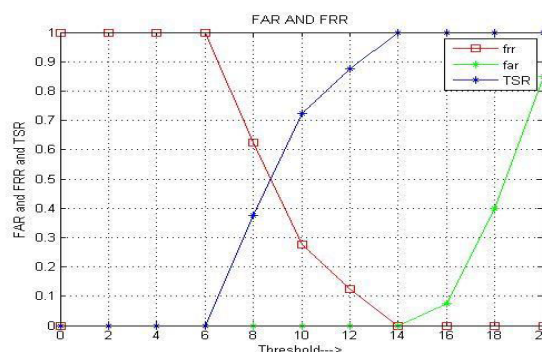


Fig 19: Variations of performance parameters with threshold for PID and POD of 20 and 40

Table 16. Percentage Variations of FAR, FRR and TSR with Threshold for PID and POD of 20 and 40

20:40 THRESHOLD	%Max TSR	FRR	FAR
0	0	1	0
2	0	1	0
4	0	1	0
6	0	1	0
8	35	0.65	0
10	85	0.15	0
12	90	0.1	0
14	100	0	0
16	100	0	0.05
18	100	0	0.3
20	100	0	0.68

Table 17 shows the percentage values of FAR and TSR increases from 0 to maximum value as threshold increases. The percentage value of FRR decreases from 100 to 0 as threshold values increases. The maximum success rate of the proposed algorithm for combined face database is 100% at a threshold of 14. Its graphical representation is shown in Figure 20. It is observed that the value of EER is zero for the threshold value of 14.

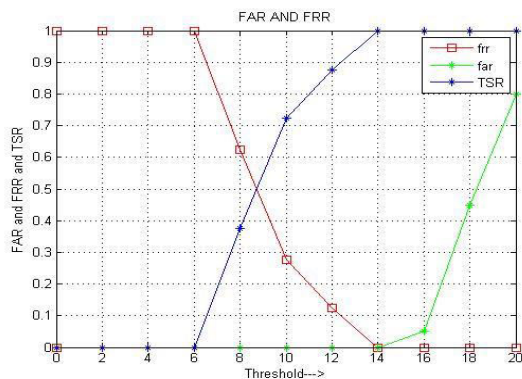


Fig 20: Variations of performance parameters with threshold for PID and POD of 40 and 40

Table 17. Percentage Variations of FAR, FRR and TSR with Threshold for PID and POD of 40 and 40

40:40 THRESHOLD	%Max TSR	FRR	FAR
0	0	1	0
2	0	1	0
4	0	1	0
6	0	1	0
8	38	0.61	0
10	72	0.28	0
12	88	0.12	0
14	100	0	0
16	100	0	0.08
18	100	0	0.4
20	100	0	0.85

Table 18 shows the percentage values of FAR and TSR increases from 0 to maximum value as threshold increases. The percentage value of FRR decreases from 100 to 0 as

threshold values increases. The maximum success rate of the proposed algorithm for combined face database is 100% at a threshold of 18. Its graphical representation is shown in Figure 21. It is observed that the value of EER is 0.02 for the threshold value of 14.2.

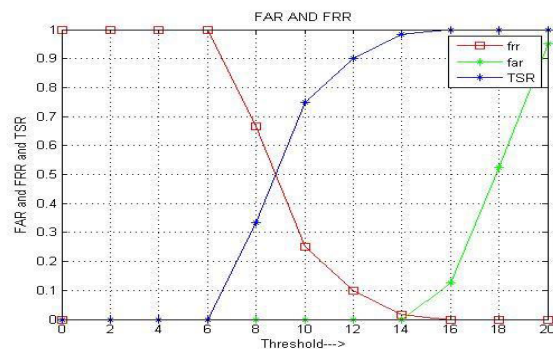


Fig 21: Variations of performance parameters with threshold for PID and POD of 60 and 40

Table 18. Percentage Variations of FAR, FRR and TSR with Threshold for PID and POD of 60 and 40

60:40 THRESHOLD	%Max TSR	FRR	FAR
0	0	1	0
2	0	1	0
4	0	1	0
6	0	1	0
8	35	0.68	0
10	75	0.28	0
12	90	0.1	0
14	99	0.1	0
16	100	0	0.11
18	100	0	0.51
20	100	0	0.85

Table 19 shows the percentage values of FAR and TSR increases from 0 to maximum value as threshold increases. The percentage value of FRR decreases from 100 to 0 as threshold values increases. The maximum success rate of the proposed algorithm for combined face database is 100% at a threshold of 16. Its graphical representation is shown in Figure 22. It is observed that the value of EER is 0.03 for the threshold value of 14.6.

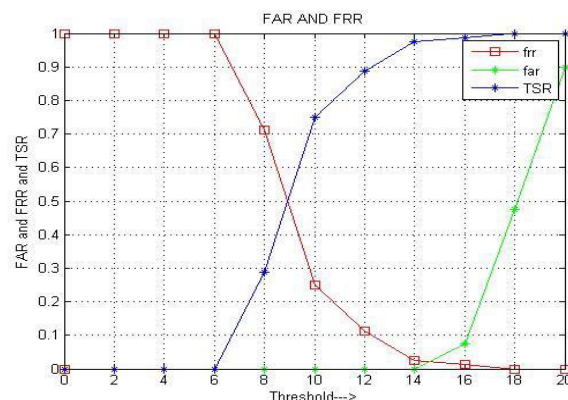


Fig 22: Variations of performance parameters with threshold for PID and POD of 80 and 40

Table 19. Percentage Variations of FAR, FRR and TSR with Threshold for PID and POD of 80 and 40

80:40 THRESHOLD	%Max TSR	FRR	FAR
0	0	1	0
2	0	1	0
4	0	1	0
6	0	1	0
8	30	0.7	0
10	75	0.25	0
12	90	0.1	0
14	98	0.02	0
16	100	0.01	0.19
18	100	0	0.48
20	100	0	0.9

Table 20 shows the percentage values of FAR and TSR increases from 0 to maximum value as threshold increases. The percentage value of FRR decreases from 100 to 0 as threshold values increases. The maximum success rate of the proposed algorithm for combined face database is 100% at a threshold of 14. Its graphical representation is shown in Figure 23. It is observed that the value of EER is zero for the threshold value of 14.

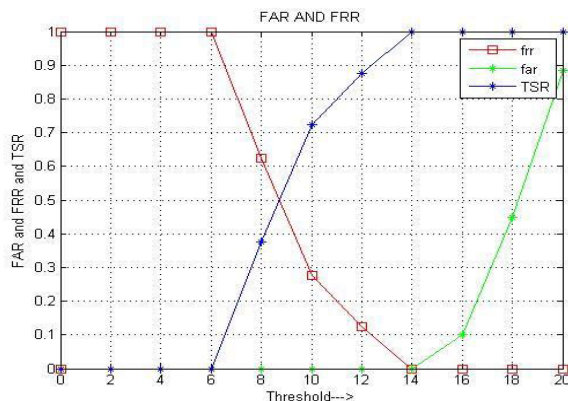


Fig 23: Variations of performance parameters with threshold for PID and POD of 40 and 60

Table 20. Percentage Variations of FAR, FRR and TSR with Threshold for PID and POD of 40 and 60

40:60 THRESHOLD	%Max TSR	FRR	FAR
0	0	1	0
2	0	1	0
4	0	1	0
6	0	1	0
8	38	0.61	0
10	72	0.28	0
12	88	0.12	0
14	100	0	0
16	100	0	0.1
18	100	0	0.45
20	100	0	0.89

Table 21 shows the percentage values of FAR and TSR increases from 0 to maximum value as threshold increases. The percentage value of FRR decreases from 100 to 0 as threshold values increases. The maximum success rate of the proposed algorithm for combined face database is 100% at a threshold of 14. Its graphical representation is shown in Figure 24. It is observed that the value of EER is zero for the threshold value of 14.

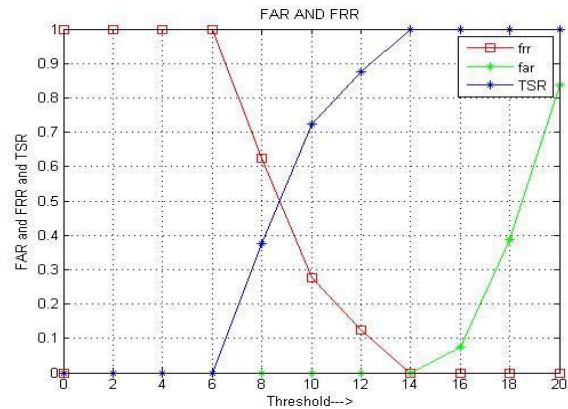


Fig 24: Variations of performance parameters with threshold for PID and POD of 40 and 80

Table 21. Percentage Variations of FAR, FRR and TSR with Threshold for PID and POD of 40 and 80

40:80 THRESHOLD	%Max TSR	FRR	FAR
0	0	1	0
2	0	1	0
4	0	1	0
6	0	1	0
8	38	0.61	0
10	72	0.28	0
12	89	0.12	0
14	100	0	0
16	100	0	0.08
18	100	0	0.4
20	100	0	0.85

The performance parameters such as percentage maximum TSR, optimum TSR and EER values are tabulated for variations in PID keeping POD constant at 40, is given in table 22. The maximum TSR values are constant at 100 for variations in PID. The percentage OTSR and EER values increases and decreases for increase in PID values from 20 to 80 keeping POD constant at 40.

Table 22. Variations of performance parameters with variations in PID for constant POD at 40

PID	POD	% MAX TSR	% OPT TSR	EER
20	40	100	100	0
40	40	100	100	0
60	40	100	98	2
80	40	100	97	3

The performance parameters such as percentage maximum TSR, optimum TSR and EER values are tabulated for variations in PID keeping POD constant at 40, is given in table 23. The maximum TSR values are constant at 100 for variations in POD. The percentage OTSR and EER values increases and decreases for increase in POD values from 20 to 80 keeping PID constant at 40.

Table 23. Variations of performance parameters with variations in POD for constant PID at 40

PID	POD	% MAX TSR	% OPT TSR	EER
40	20	100	100	0
40	40	100	100	0
40	60	100	100	0
40	80	100	100	0

Hence in this work, the percentage maximum TSR of the proposed model is compared with existing methods explained by Abhilasha A Patil and Lakshmi Maka [14], Thakur et al., [15] and P D Bhamre and Swati B Memane [16] is shown in table 24. It is found that the performance of the proposed method is better than the existing methods for ORL face.

Table 24. Comparison of TSR value of the proposed system with existing system for ORL database

Sl. No	Techniques/Methods	%Max TSR
1	LBP+ANN [14]	93.33
2	PCA+RBF NN [15]	93.6
3	SVD + Hidden Markov model [16]	97.5
<b>4</b>	<b>Proposed model (SWT+DCT+LTP)</b>	<b>100</b>

## VI. CONCLUSION

In this paper, Face Recognition using Stationary Wavelet Transform, Discrete Cosine Transform and Local Ternary Pattern is presented. Face images are resized. SWT and DCT are applied on face images to produce features. LTP is applied on SWT features. SWT, DCT and LTP features are concatenated to get final features. Features of test and database images are compared using Euclidean distance. Total Success Rate of the proposed system is better than existing systems due to invariant nature of SWT and concatenation of multiple features.

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