# [14] Retroperitoneal space organ segmentation from CT images based on the level set function



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Abstract

This article presents the method of solving the problem of segmentation of the retroperitoneal space organs from the tomographic images; the method bases on the level set function. The method of image pre-processing is based on the nonlinear anisotropic diffusion filter which smooths the image while maintaining the edges between the segments. The segmentation algorithm of tomographic image based on the level set function are synthesized.

**Keywords:** SEGMENTATION, COMPUTER TOMOGRAPHY, RETROPERITONEAL SPACE ORGANS, CT, IMAGE PROCESSING, ANISOTROPIC DIFFUSION, NON-LINEAR FILTRATION, LEVEL SET, ACTIVE CONTOUR.

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### Introduction

3D simulations technology of computed tomography (CT) data are more actively used by doctors in their medical practice, significantly increasing the information content of studies [1-4], facilitating the identification of pathologies or optimizing preoperative planning [5, 6] However, for some clinical problems such as surgical preoperative planning [5, 6, 9, 10], it is necessary to understand the three-dimensional data structure, which is quite challenging and in many respects depends on the physician's experience. Image segmentation is a necessary image processing step for solving such problems [5, 7, 8]. Segmented 3D visualization of human organs gridded to the patient makes it much easier to understand images and speeds up the learning process for novice surgeons. 3D representation of segmented models of human organs is an integral part of all surgical simulators [7, 11-13], which simulate surgical steps on virtual models.

The paper deals with application of level functions for numerical simulation of the patient's organs image segmentation process based on the results of the tomographic examination. Segmentation process depends on many factors, such as curvature of segmented regions, the intensity distribution or im-

age structure. Methods based on the level function are characterized by adaptability to the parameters of the original image, which reduces the complexity of managing the process of segmentation of medical images

**Objective:** Development of an algorithm of segmentation of images of human organs on CT images based on the level function.

# 1. Theoretical analysis

With reference to the tomography image segmentation task is understood as the task of division of digital image into a plurality of disjoint regions or pixels sets, having the same sign characteristic, e.g., texture, color or intensity, depending on the type of tissue and anatomic structure. Adjacent areas differ from each other by the same characteristics. The result of segmentation is a set of areas covering the entire image, and a set of contours extracted from them.

To date, the problem of segmentation is generally considered to be unsolved, because there is no clear and unambiguous formulation of the general problem. The working result of the methods based on machine learning [14, 15], fairly depend on the characteristics of the training set. Methods based on a priori models [16, 17] and probabilistic atlases [18, 19], also have a number of problems, because they require

time-consuming operations in the preliminary stage of formation models: collection and classification of samples and manual segmentation. These methods in most cases are highly efficient and simple, but at the same time, give inadequate results in the processing of non-standard images, or have a high computational cost. Methods based on active contours and level functions are more universal and have no such problems. Lefohn et al. [20] and Cates et al. [21] showed that the segmentation techniques based on the level function reduce complexity of the segmentation process of medical images. The main difficulty in their development is the synthesis of adequate speed function and its parameters.

Suppose the original image is represented as a four-dimensional scalar (x,y,z,i) field, where x,y,z are the coordinates of pixels, and i is intensity value. Then as the level function  $\phi(\mathbf{u}): \Re^4 \to \Re$ ,  $\mathbf{u}=(x,y,z)$  of the image a mathematical object  $\phi$  is understood, implicitly representing the image  $\Omega_1$  and outside  $\Omega_2$  areas (Figure 1).  $\Omega_1$  field corresponds to the highlighted segment, and  $\Omega_2$  — to other segments or background.  $\phi(\mathbf{u})$  function is given by a scalar field, which takes positive values for  $\Omega_1$  region and negative for  $\Omega_2$ :

$$\Omega_1 = \{\mathbf{u} : \phi(\mathbf{u}) \ge 0\}$$
 and  $\Omega_2 = \{\mathbf{u} : \phi(\mathbf{u}) < 0\}$ , (1) where  $\mathbf{u} = (x, y, z)$  is the coordinate of the image pixel.

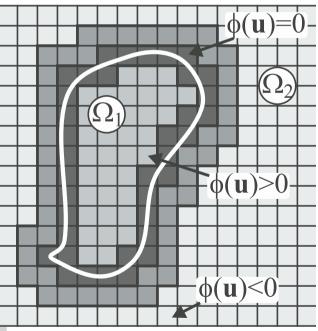


Fig. 1. To determine the function level

If the number of segmented regions N>2, then N functions are used of the level  $\{\phi_h\}_{h=1}^N$ , which divide image on the regions  $\{\Omega_h\}_{h=1}^N$ . Contours  $B_h$ 

of segments are defined by points lying on the regions border  $\{\Omega_h\}_{h=1}^N$ , i.e.:

$$B_h = \{ \mathbf{u} : \phi_h(\mathbf{u}) = 0 \} \tag{2}$$

Level  $\phi_h$  function is initiated by 3D region  $V_h^0$  (also called bare area or "grain") within a 3 D image:

called bare area or "grain") within a 3 D image:  $\phi_h(\mathbf{u}) = 1$ ,  $\forall \mathbf{u} : \mathbf{u} \in V_h^0$ ,  $\phi_h(\mathbf{u}) = -1$ ,  $\forall \mathbf{u} : \mathbf{u} \notin V_h^0$  (3) The surface feature  $\phi$  is iteratively deformed so as to highlight the image region of interest. Wherein the function  $\phi$  motion takes place along the normal

$$N = -\frac{\nabla \phi(\mathbf{u})}{|\nabla \phi(\mathbf{u})|}$$

to the function surface  $\phi$  at the **u** point. The speed of the evolutionary process of the function  $\phi$  is governed by locally dependent function of the speed  $F(\mathbf{u},t)$ :

 $\phi(\mathbf{u}, t + \Delta t) = \phi(\mathbf{u}, t) + \Delta t \cdot F(\mathbf{u}, t) \cdot |\nabla \phi(\mathbf{u}, t)|$  (4) where  $\mathbf{u}$  is the pixel coordinate, t is current time of segmentation process,  $\Delta t$  – discretization by time,  $\phi(\mathbf{u}, t)$  and  $\phi(\mathbf{u}, t + \Delta t)$  are values of the level function of the last and the next iteration. A more complete overview of the methods based on the level function and their application to image segmentation can be found in Sethain [22], Osher and Paragios [23].

# 2. The mathematical model of image

We used the data of the standard clinical examination of spiral computed tomography performed on devices Philips Brilliance 64 Siemens Somatom 40, in cooperation with the Republican Clinical Hospital of Mari El. Images are stored in the DICOM format.

CT image I is an ordered set  $I = \{I_z\}_{z=0}^{N-1}$ , from N x-ray images of the human body, made at a definite distance  $K = \{k_x, k_y, k_z\}$  between pixels. Each pixel  $\mathbf{u} = (x, y, z)$  of such image is encoded by the shifted value of the quantifying estimation of the density  $I(\mathbf{u})$  of human tissue structures on the scale of Hounsfield. Range scale units range from -1024 to +3071, pixel intensity value lies in the range  $0 \le I(\mathbf{u}) \le 4095$ . The range is rather wide and allows to classify certain tissues confidently [8].

Images of retroperitoneal organs on CT images obtained as the result of examination have inconsistent intensity. This is due to the heterogeneity of tissue and organs structure, most tissues contain blood, which leads to the "noisy" images. An element of randomness is also laid in the very nature of the radiation source. Thus, the mathematical model of the CT image I is as follows:

$$I = b \cdot J + Z \tag{5}$$

where J is undistorted ("perfect") image, b is a component assosiated with image heterogeneity, Z is additive noise, I is the resulting image issued by tomograph. We assume that within a single tissue

component b varies monotonically and smoothly, and the noise component Z is subject to the normal distribution law.

# 3. Pre-processing of image

The section discusses the method of pre-processing of images, reducing the influence of the noise components  $b \in Z(5)$ , and approximating the result of processing to the «ideal» image J, at the same time preserving boundaries between the segment areas.

Traditional methods of removing noise, improving margins and reconstruction of blurred images are

based on high-frequency filtering methods, or reverse spread of the diffusion equation in time. The problem in the current setting can be solved, using as a physical basis a non-linear process of diffusion. P. Perona and J. Malik [24] reported that, if the conductivity coefficient is selected in accordance with the gradient function of the image, the diffusion will simultaneously smooth the image and enhance the edges, stabilizing the image to echelon form. The result is guaranteed by the principle of maximum diffusion equation.

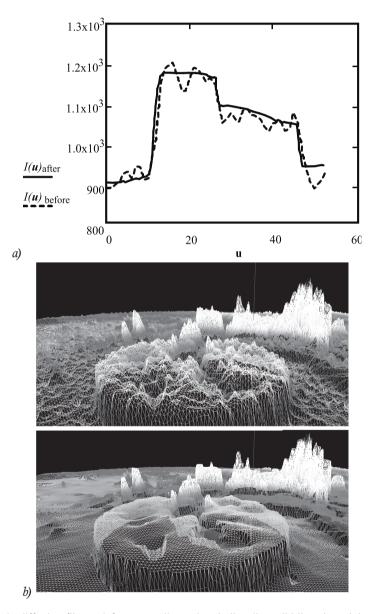


Fig.2. Work of anisotropic diffusion filter: a) for a one-dimensional slice (by solid line the original image is indicated, by the dotted line – the filtered one); b) for one 2D slice, the intensity is plotted along the axis OZ, top picture is the original fragment of image, the bottom is the image after filtering

Anisotropic diffusion filter works as follows. To the filter input are sent I of tomograms slices, scale coefficients  $K = \{k_x, k_y, k_z\}$  CT images, which describe physical distance between the pixels, and taken into account when calculating the gradient  $\nabla I(\mathbf{u})$ . Then iteratively to each pixel is assigned a new intensity value according to the formula:

$$I'(\mathbf{u}) = I(\mathbf{u}) + \Delta I(\mathbf{u}) =$$

$$= I(\mathbf{u}) + \mathbf{v} \cdot di\mathbf{v} \left( \nabla I(\mathbf{u}) \cdot \exp\left(-\frac{\left|\nabla I(\mathbf{u})\right|}{\kappa^2}\right) \right). \tag{6}$$

If diffusion  $\Delta I(\mathbf{u})$  in a fixed neighborhood of a point  $\mathbf{u}$  is large, then this intensity at that point is the noise and the intensity value is adjusted toward the dominant intensity. If diffusion  $\Delta I(\mathbf{u})$  is small, then the point belongs to the boundary and no blurring occurs. A more detailed description of the mechanism of filter performance can be found in the article P. Perona and J. Malik [24]. Among the advantages of the filter should be noted stability and parallelizability.

The gradient  $\nabla I(\mathbf{u})$  is estimated for  $3\times3\times3$  of point neighborhood. The number of iterations steps is equal to 16 [24]. Selection of  $\nu$  parameter, responsible for smoothness of the diffusion process, is discussed in the article G. Gerig et al. [25]. In this paper, the value  $\nu=0,142857$ . Parameter  $\kappa$  performs the same role as the mean-square deviation in the model of the normal distribution law and influences the process of smoothing. The higher the parameter  $\kappa$  value, the greater range of intensities is exposed to smoothing. A working range of parameter values for CT images of retroperitoneal organs is experimentally set:  $\kappa\approx25\pm5$ .

Figure 2 shows the working result of the anisotropic diffusion filter.

## 4. The detector function of boundaries

As in the previous step an anisotropic diffusion filter is used which minimizes the spread of values in the uniform area, but maintains the border, in the role of the border detector function a function type may be used:

$$g(\mathbf{u}) = \frac{1}{1 + \sigma^2 [I(\mathbf{u})]},$$
where  $\sigma^2 [I(\mathbf{u})]$  is dispersion of brightness values in

where  $\sigma^2[I(\mathbf{u})]$  is dispersion of brightness values in the radius range r = 1,5 pixels around the point  $\mathbf{u}$ . This type of function detects the borders with sufficient sensitivity to weak brightness drops. Fig. 3b shows the working result of the function of borders detector (7). It is worth paying attention to the fragment of the CT image, comprising transition from liver to muscles (Fig. 3, is marked by the square).

As can be seen from Figure 3b, the detector detects this border.

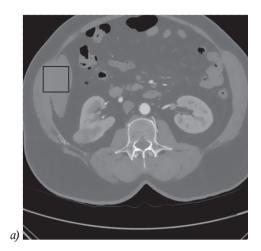




Figure 3. Result of work of the boundaries detector function g (u): a) CT image after applying the anisotropic diffusion filter; b) display of the values of the function g (u), the white areas correspond to homogeneous image areas, black areas – to the boundary regions between the segments

### 5. The functional of the level function

To manage the evolution of the level function (4) a locally dependent speed function is used  $F(\mathbf{u},t)$ . The function evaluates the speed of evolution according to the local surface curvature of the level function  $\phi(\mathbf{u},t)$  and the local intensity of image I. There are two requirements to the speed function. First, functions  $\phi(\mathbf{u},t)$  must vary along the normal to its surface. Second, the evolution of the function  $\phi(\mathbf{u},t)$  must be decelerated on reaching segment boundaries.

Speed function for the function of the level  $\phi_h$  is built under the scheme with smoothing [26]:

$$F_h(\mathbf{u},t) = \alpha \cdot C_h(\mathbf{u},t) + (1-\alpha) \cdot D_h(\mathbf{u})$$
 (8) where  $\alpha \in [0,1]$  is the smoothing function which con-

trols the relative influence of the curvature values  $C_h(\mathbf{u},t)$  and information term  $D_h(\mathbf{u})$  at the behavior of the speed function, h is the number of level function. The  $\alpha$  parameter value can be associated with the function of the boundaries detector:  $\alpha = g(\mathbf{u})$  (7). This technique allows the circuit to switch smoothly between modes of propagation along the normal to the function for a homogeneous area ( $g(\mathbf{u}) > 0$ ) and distribution near the boundary between the segments  $(g(\mathbf{u}) \approx 0).$ 

Informational term  $D_h(\mathbf{u})$  is responsible for the sign and power of the speed function for *h* function of the level and is defined as follows:

$$D_h(\mathbf{u}) = \varepsilon_h - |I(\mathbf{u}) - T_h| \tag{9}$$

 $D_h(\mathbf{u}) = \varepsilon_h - |I(\mathbf{u}) - T_h|$  (9) where  $I(\mathbf{u})$  is the function of the image intensity,  $T_h$  is the target intensity which stimulates the maximum surface growth, and  $\varepsilon_h$  is the intensity range in which the level function must grow. If the value of intensity  $I(\mathbf{u})$  at the  $\mathbf{u}$  point satisfies the condition  $T_h - \varepsilon_h \le I(\mathbf{u}) \le T_h + \varepsilon_h$ , then  $D_h(\mathbf{u})$  promotes the growth (expansion) of the region, or otherwise – compression of the area.

The intensity distribution of the biological tissue is random. Experimental test on a group of patients showed that the intensity distribution of individual structural elements of organs and tissues within the image of one patient corresponds to the normal distribution law with a confidence level of 0.95 (Kolmogorov test). Therefore the quantitative estimations of  $T_h$  and  $\varepsilon_h$ parameters can be set by mathematical expectation  $\mu_h$ and an average quadratic deviation  $\sigma_h$  for each level

 $T_h = \mu_h = M[I(\mathbf{u})], \ \varepsilon_h = k \cdot \sigma_h = k \cdot \sigma[I(\mathbf{u})], \ \mathbf{u} \in \phi^h$  (10) where k is a parameter chosen, e.g., by the rule of "three sigmas", k = 3.

Parameters  $T_h$  and  $\varepsilon_h$  change iteratively in the process of evolution of each function of the level. The initial values of the parameters are measured at the intensity values of a set of initiating seed field points  $V_h^0$ .

The curvature of the level function at the point  $\mathbf{u}$  is defined as a local divergence of the normalized gradient of function of the level at the previous iteration:

$$C_{h}(\mathbf{u},t) = div \frac{\nabla \phi_{h}(\mathbf{u}.t - \Delta t)}{\left|\nabla \phi_{h}(\mathbf{u}.t - \Delta t)\right|}$$
(11)

The gradient  $\nabla$  is calculated for the  $3 \times 3 \times 3$  pixel area according to the scheme presented in [26]. This area of connectivity gives a smoother surface curvature assessment of level function.

In the role of initial seed regions  $V_h^0$  are used 3D spherical fragments of the radius image  $\rho = 3$  of a pixel with centers in points  $\mathbf{u}_h^0$ , arranged in nodes of regular grid.

Since the points falling within the sphere window are used to estimate the initial statistics  $T_h$   $\bowtie$   $\epsilon_h$ , then for their correct estimation the centers  $\mathbf{u}_h^0$  must lie within the segmented region and should not be at the border of two segments. If seed area is on the border of segments, the intensity distribution is characterized by a high level of dispersion owing to the presence of several centers of mass. Therefore, a criterion for dropping out of such areas can be the use of condition for standard deviation with a threshold, for example,  $\varepsilon_h > 20$ .

In the process of evolution a situation is inevitable where two level functions will be in contact by their borders. In this case quantitative values are measured of the degree of similarity of the pixel intensity values for each of the competing segments  $\Omega_h$  using the likelihood function:

Throod function.  $L_h(\mathbf{u}) = \frac{1}{\sigma_h \sqrt{2\pi}} \exp\left(-\frac{(I(\mathbf{u}) - \mu_h)^2}{2\sigma_h^2}\right). \tag{12}$ And by the criterion of maximum likelihood a pixel

will relate to one of the adjacent segments

At each step of the segmentation process statistics is kept of the evolution of level functions in the form of Count of added/ excluded points.

$$Count = \sum_{\mathbf{u} \in I} \begin{cases} 0, & \phi(\mathbf{u}, t) = \phi(\mathbf{u}, t + \Delta t) \\ 1, & \phi(\mathbf{u}, t) \neq \phi(\mathbf{u}, t + \Delta t) \end{cases}$$
(13)

The evolution process goes on until the functions of the level stabilize, their growth stops, and *Count* becomes less than a certain threshold value Count threshold

At the last step there is a fusion of adjacent functions of the level having common statistics, e.g. at the Kolmogorov-Smirnov criterion [27].

Thus, the proposed generalized image segmentation algorithm based on function of the level is as follows:

- 1. Application of anisotropic diffusion filter for each point  $\mathbf{u} \in I$  according to equation (6).
- 2. Calculation of function of borders detector  $g(\mathbf{u})$  for the neighborhood of the radius r = 1.5 pixels of each point  $\mathbf{u}$  according to equation (7).
- 3. Generation of a plurality of seed regions  $\{V_h^0\}_{h=1}^N$ and initialization of level functions  $\{\phi_h\}_{h=1}^N$  according to equations (3) and (10).
- 4. Iterative change of the level function according to equations (4) and (8) until stabilization.
- 5. Visualization of results.

This algorithm is implemented under the scheme with parallel computing. Thus in steps 1 and 2, there is no need to synchronize the interaction of simultaneously carried out threads. While steps 3 and 4 during their work require a mechanism of synchronization and control of mutually exclusive access to shared resources.

# 6. The results and further work

The algorithm has been implemented as a part of "Volga-M" software, developed at the Department of radio engineering and biomedical systems, in collaboration with the urology department of the Republican Clinical Hospital of Mari El. Job was tested on CT data of three patients with urological pathologies. The result of algorithm operation with a single grain on one of the images of kidney and tumor is shown in Figure 4.

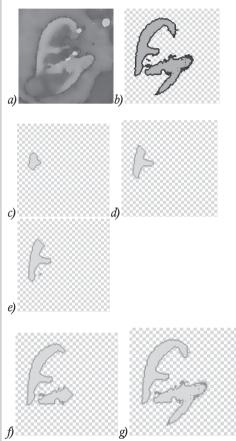


Figure 4. Stepwise evolution of level function for one seed: a) the original kidney image, b) a segmentation result of kidney cortex, c) to g) view of the level of function at 10, 20, 30, 50, and 70 iterations, respectively,

In general, the algorithm runs stably and allows to segment individual classes and structure of the patient's organs. When segmenting a plurality of grains the segmented image of the patient body is formed. Figure 5 shows the evolution of functions of the level in this image segmentation mode. Expertise in the face of the medical practitioner has shown that the basic structure of the kidneys are allocated correctly.

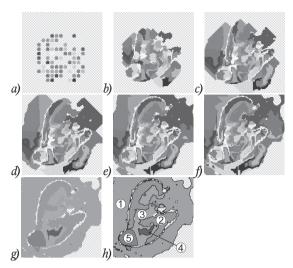


Figure 5. Stepwise evolution of level function for multiple seed: a) the type of the original set of seed, b) to f) view of the level functions for 10, 30, 50, 70, 90 iterations, respectively, g) -h) segmentation result at 90 iterations after association of related functions of the level: the surrounding tissue (1), kidney cortex (2), the kidney parenchyma (3, 4), tumor (5)

The disadvantage of the current implementation of the algorithm may include not quite effective using the results obtained at a stage of selection of boundaries. Potentially, a combination of anisotropic diffusion filter and boundaries detector function detects the boundaries between segments with smoothly changing brightness characteristics. Implementation of the scheme of evolution of level function in conjunction with the methods of morphological processing of the result of boundaries detector function may allow to form more rigorous evolutionary terms. The further work on the project will be devoted to this area.

### **Conclusion**

This paper proposes a method of segmentation of retroperitoneal space organs on the tomographic images based on the function of the level . To implement this method we inspected the mathematical model of the CT image, taking into account the statistical properties of the structural elements of organs and tissues and the effect of noise. On the basis of the constructed mathematical model a method is proposed for pre-processing the image based on the application of the nonlinear adaptive anisotropic diffusion filter which is smoothing the image while maintaining the boundaries between segments, and functions of the boundaries detector based on the

dispersion estimation of values of a point surroundings. This approach to the pre-treatment image gets rid of the influence of the noise component, saves the information on the internal structure of tissues and organs by maintaining the boundaries between large isolated segments. A tomographic image segmentation algorithm was synthesized basing on the level function and active circuits, and examples of its operation are given. The developed algorithm can be used for engineering and medical practice in the development of medical imaging software, training simulation programs of preoperative planning and intraoperative navigation.

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