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Maximum Independent Set Cover Pebbling Number of an m-ary Tree

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Abstract : A pebbling move is defined by removing two pebbles from some vertex and placing one pebble on an adjacent vertex. A graph is said to be cover pebbled if every vertex has a pebble on it after a series of pebbling moves. The maximum independent set cover pebbling number of a graph G is the minimum number, $\rho(G)$, of pebbles required so that any initial configuration of $\rho(G)$ pebbles can be transformed by a sequence of pebbling moves so that after the pebbling moves the set of vertices that contains pebbles form a maximum independent set S of G. In this paper, we determine the maximum independent set cover pebbling number of an m-ary tree.

Key words: Graph pebbling, cover pebbling, maximum independent set cover pebbling, m-ary tree.

1. Introduction

Given a graph G, distribute k pebbles on its vertices in some configuration, call it as C. Assume that G is connected in all cases. A pebbling move is defined by removing two pebbles from some vertex and placing one pebble on an adjacent vertex. [1] The pebbling number $\pi(G)$ is the minimum number of pebbles that are sufficient, so that for any initial configuration of $\pi(G)$ pebbles, it is possible to move a pebble to any root vertex v in G. [2] The cover pebbling number $\gamma(G)$ is defined as the minimum number of pebbles needed to place a pebble on every vertex of the graph using a sequence of pebbling moves, regardless of the initial configuration. A set S of vertices in a graph G is said to be an independent set (or an internally stable set) if no two vertices in the set S are adjacent. An independent set S is maximum if G has no independent set S' with |S'| > |S|.

We introduce the concept maximum independent set cover pebbling number in [4]. The maximum independent set cover pebbling number, $\rho(G)$, of a graph G, to be the minimum number of pebbles that are placed on V(G) such that after a sequence of pebbling moves, the set of vertices with pebbles forms a maximum independent set S of G, regardless of their initial configuration. In this paper, we determine the maximum independent set cover pebbling number $\rho(G)$ for an m-ary tree.

Notation: f(a) denotes the number of pebbles placed at the vertex a. Also f(G) denotes the number of pebbles on the graph G.

2. Maximum independent set cover pebbling number of an m-ary tree

Definition 2.1. A complete m -ary tree, denoted by M_n , is a tree of height n with m^i vertices at distances i from the root. Each vertex of M_n has m children except

for the set of m^n vertices that are at distance n away from the root, none of which have children. The root is denoted by R_n .

Or Simply a complete m -ary tree with height n, denoted by M_n , is an m -ary tree satisfying that v has m children for each vertex v not in the n th level.

Theorem 2.2. (i) $\rho(M_0) = 1$ (obvious).

(ii) $\rho(M_1) = 4m-3 \ (m \ge 3)$ and if m = 2 then $\rho(M_1) = 6$. Since, for $m \ge 3$, $M_1 \equiv K_{1,m}[4]$ and for m = 2, $M_1 \equiv P_3$, the path of length two[5].

(iii) $\rho(M_2) = 16m^2 - 12m + 1$.

Proof of (iii). Note that M_2 contains m-M₁'s as subtrees which are all connected to the root R_2 of M_2 . Let $R_{11}, R_{12}, \ldots, R_{1m}$ be the root of the m-M₁'s (say M_{11}, M_{12}, \ldots , M_{1m}). In general, M_n contains m-M_{n-1}'s as subtrees which are all connected to the root R_n of M_n . Let $R_{(n-1)1}, R_{(n-1)2}, \ldots, R_{(n-1)m}$ be the root of the m-M_(n-1)'s. Choose the rightmost vertex of this subtree, label it by v. Put 16m²-12m pebbles on this vertex. Then we cannot cover the maximum independent set of M_2 . Thus $\rho(M_2) \ge 16m^2-12m+1$.

Now consider the distribution of $16m^2-12m+1$ pebbles on the vertices of M₂. According to the distribution of these amounts of pebbles, we find the following cases:

Case 1 : $f(M_{1i}) \ge 4m-3$, where $1 \le i \le m$.

Clearly we are done if $f(R_2) \ge 1$. So assume that, $f(R_2) = 0$. This implies that $\sum_{i=1}^{m} f(M_{1i}) = 16m^2 - 12m + 1$. Any one of the m² paths (of length two) leading from the root R₂ to the bottom of M₂ must contain at least four pebbles and hence

we are done, since any one the subtree contains at least
$$\left[\frac{16m^2 - 12m + 1}{m}\right] \ge 16m - 12 + 1$$
 pebbles.

Case 2: $f(M_{1i}) \le 4m-4$, for all $i (1 \le i \le m)$

This implies that $f(R_2) \ge 16m^2 - 12m + 1 - m(4m - 4) = 12m^2 - 8m + 1$. We need 2m(4m - 3) + 1 pebbles at R_2 . But $f(R_2) - 2m(4m - 3) - 1 > 0$.

Case 3 : $f(M_{1i}) \ge 4m-3$ for some i $(1 \le i \le m)$.

Let $t \ge 1$ subtrees of M₂ contains at least 4m-3 pebbles. Note that, for every subtree (except one subtree that contains 4m-3 or more pebbles, we have 16m pebbles to cover its maximum independent set.

Let $f(M'_{1j}) = a_j$ where $a_j \le 4m-4$. Thus, to cover the maximum independent set of the subtree M'_{1j} , we have another 16m- a_j pebbles somewhere on the graph. So, we

can send $\left\lfloor \frac{16m - a_j}{4} \right\rfloor \ge 4m - \frac{a_j}{4}$ pebbles to the root R₂ and then we move

$$2m - \frac{a_j}{8}$$
 pebbles to the root R_{1j} of M_{1j} . Thus M_{1j} contains $a_j + 2m - \frac{a_j}{8} =$

 $2m + \frac{7}{8}a_j$. But these numbers of pebbles are enough to cover the maximum

independent set of M_{1j} , or the value of $2m + \frac{7}{8}a_j \ge 4m - 3$, and hence we are

done. So using (m-t)(16m-a_j)- $\sum_{i=1}^{t} a_i$ pebbles, we cover the maximum independent set of the (m-t) subtrees that contains a_i pebbles. So we have at least (t-1)16m+4m+1

pebbles on the t-subtrees plus R_2 that are all contains 4m-3 or more pebbles. If $f(R_2) \ge 1$ then we are done. Otherwise we can always move a pebble to R_2 using at most four pebbles from the remaining pebbles on the t-subtrees.

(iv)
$$\rho(M_3) = 64m^3 - 48m^2 + 4m - 15 \ (m \ge 3)$$
.

Proof of (iv). Clearly, M_3 contains m-M₂'s as subtrees which are all connected to the root R_3 of M_3 . Consider the rightmost bottom vertex, say v, of M_3 and put $64m^3$ - $48m^2$ +4m-16 pebbles on the vertex v. Then we cannot cover the maximum independent set of M_3 . Thus $\rho(M_3) \ge 64m^3$ - $48m^2$ +4m-15.

Now consider the distribution of $64m^3$ - $48m^2$ +4m-15 pebbles on the vertices of M₃. According to the distribution of these amounts of pebbles, we find the following cases:

Case 1 : $f(M_{2i}) \ge \rho(M_2)$ where $1 \le i \le m$.

Clearly we are done if $f(R_2) = 0$, or 2 or $f(R_2) \ge 4$. So assume that $f(R_2) = 1$ or 3. This implies that, $\sum_{i=1}^{m} f(M_{2i}) \ge 64m^3 - 48m^2 + 4m - 18$.pebbles. So, any one of the

path (of length three) leading from the root R_3 to the bottom row of M_3 must contain at least eight pebbles. Thus we move a pebble to R_3 and hence we are done.

Case 2 : $f(M_{2i}) < \rho(M_2)$ where $1 \le i \le m$.

We need 2m $\rho(M_2)$ +5 pebbles on the root vertex R₃ of M₃. We have $\rho(M_3)$ -m $\rho(M_2)$ +m pebbles on the root vertex R₃. But, $\rho(M_3)$ -m $\rho(M_2)$ +m-(2m $\rho(M_2)$ +5) ≥ 0 . Since, $\rho(M_3) = 64m^3 - 48m^2 + 4m - 15$, $\rho(M_2) = 16m^2 - 12m + 1$ and $m \geq 3$.

Case 3 : $f(M_{2i}) \ge \rho(M_2)$ for some i $(1 \le i \le m)$.

Let $t \ge 1$ subtrees contains $\rho(M_2)$ or more pebbles. Label those subtrees by M_{2i} $(1 \le i \le t)$ and label the other subtrees by M'_{2j} $(1 \le i \le m-t)$. Also, let $f(M'_{2j}) = a_j$ where $a_j < \rho(M_2)$. Note that, we have usually $(64m^2+16)(m-1)$ pebbles each to cover the maximum independent set of M_{2i} 's and M'_{2j} 's, except one subtree M_{2k} $(1 \le k \le t)$ that contains $\rho(M_2)$ or more pebbles.

Since $a_j < \rho(M_2)$, we have another $64m^2 + 16 - a_j$ pebbles that are in somewhere of the graph M_3 to cover the maximum independent set of M'_{2j} . So we can send

$$\left\lfloor \frac{64\text{m}^2 + 16\text{-}a_j}{8} \right\rfloor \ge 8m^2 + 2 - \frac{a_j}{8} \text{ pebbles to the root } R_3 \text{ and then we move}$$

$$4m^2+1-\frac{m_j}{16}$$
 pebbles to the root R'_{2j} of M'_{2j} . Thus, M'_{2j} contains $4m^2+1+\frac{15}{16}a_j$

pebbles. But these number of pebbles are at least $\rho(M_2)$ or it is enough to cover the maximum independent set of M'_{2j} using the pebbles at R'_{2j} plus a_j pebbles. Thus the t-subtrees M_{2i} plus R_3 contains $(64m^2+16)(t-1)+16m^2-12m+1$ or more pebbles. We know that $f(M_{2i}) \ge \rho(M_2)$ where $1 \le i \le t$. Let $f(R_3) = 1$ or 3 (Otherwise, we are done). We can move a pebble to R_3 , using at most eight pebbles from the subtree that contains $16m^2-12m+9$ pebbles or more. And hence we are done.

$(v) \rho(M_{\bullet}) = 256m^{\bullet} - 192m^{3} + 16m^{2} - 60m + 1$

Proof of (v): Consider the rightmost bottom vertex, say v, of M₄, and put $256m^4 - 192m^3 + 16m^2 - 60m$ pebbles. Then we cannot cover the maximum independent set of M₄. Thus, $\rho(M_4) \ge 256m^4 - 192m^3 + 16m^2 - 60m + 1$.

Now consider the distribution of $256m^4 - 192m^3 + 16m^2 - 60m + 1$ pebbles on the vertices of M₄. According to the distribution of these amounts of pebbles, we find the following cases:

Case 1: $f(M_{a_i}) \ge \rho(M_a)$ for all $i (1 \le i \le m)$.

Clearly we are done if $f(R_4) \ge 1$. So assume that $f(R_3) = 0$. This implies that $\sum_{i=1}^{m} f(M_{3i}) = \rho(M_4) = 256m^4 - 192m^3 + 16m^2 - 60m + 1$ So any one of the m⁴
paths (of length four) leading from the root R₄ to the bottom row of M₄ contains at

least sixteen 'extra' pebbles. Thus we can move a pebble to R_4 and hence we are done.

Case 2: $f(M_{ai}) < \rho(M_a)$ for all $i (1 \le i \le m)$.

We need $2m\rho(M_3) + 1$ pebbles on the root vertex R_4 of M_4 . We have $\rho(M_4) - m[\rho(M_3) - 1]$ on the root vertex R_4 . Since, $\rho(M_4) = 256m^4 - 192m^3 + 16m^2 - 60m + 1$, $\rho(M_3) = 64m^3 - 48m^2 + 4m - 15$ and $m \ge 3$, we get $f(R_3) \ge 2m\rho(M_3) + 1$ and hence we are done.

Case 3: $f(M_{ai}) \ge \rho(M_a)$ for some i.

Similar to Case (iii) of previous theorems; using the hints, from that $256m^3 + 64m$

pebbles, we can send $\left\lfloor \frac{256m^3 + 64m - a_j}{16} \right\rfloor \ge 16m^3 + 4m - \frac{a_j}{16}$ to the root R₄ of M₄.

Theorem 2.3: For a complete m-ary tree M_n ($n \ge 3$), the maximum independent set cover pebbling number is given by,

 $\rho(M_n) = (m-1)P + Q + \gamma_n = S_{1,n} + S_{2,n} + S_{3,n}$

where
$$P = \sum_{k=0}^{\left\lfloor \frac{n-1}{2} \right\rfloor} m^{n-2k-1} 2^{2n-2k}, \quad Q = \sum_{i=0}^{\left\lfloor \frac{n-1}{2} \right\rfloor} \left(2^{2i} + (m-1) \sum_{j=1}^{n-2i-1} m^{j-1} 2^{2i+2j} \right) \text{ and}$$
$$\gamma_n = \begin{cases} 2^n, if \ n \ is \ even \\ 0, if \ n \ is \ odd \end{cases}$$

Proof. Consider the rightmost vertex of M_n , say v, and put $\rho(M_n) - 1$ pebbles on the vertex v. Then we cannot cover a maximum independent set of M_n . Thus the lower bound follows.

We prove the upper bound of $\rho(M_n)$ by induction on n. For n=3 and n=4, this theorem is true by previous theorem (iv) and (v). So assume the result is true for the complete m-ary tree M_{n-1} (n \geq 5).

Consider the distribution of $\rho(M_n)$ pebbles on the vertices of M_n . According to the distribution of these amounts of pebbles, we find the following cases:

Case (1):
$$f(M_{(n-1)i}) < \rho(M_{n-1})$$
 for all $i (1 \le i \le m)$.

We need, $2m\rho(M_{n-1}) + 5$ pebbles on the root R_n, to cover the maximum independent set of M_n. We have to prove that $\rho(M_n) - m\rho(M_{n-1}) + m \ge 2m\rho(M_{n-1}) + 5$. It is enough to prove that, $\rho(M_n) \ge 3m\rho(M_{n-1}) + 2$ (for m ≥ 3).

$$\rho(M_n) \ge 3m\rho(M_{n-1}) + 2 \qquad \dots \dots (1)$$

From the 1^{st} term, by considering k=0 we get,

$$\rho(M_n) \ge (m-1)(m^{n-1}2^{2n})$$
 (2)

 $S_{1,n-1} = (m-1) \sum_{k=0}^{\left\lfloor \frac{n-2}{2} \right\rfloor} m^{n-2k-2} \, 2^{2n-2k-2}$

$$\begin{aligned} \overline{\left((m-1)(m^{n-2}2^{2n-2}) \sum_{k=0}^{p-2} \frac{1}{m^{2k}2^{2k}}} \right) \\ & S_{1,n-1} \leq \frac{8}{7} [(m-1)(m^{n-2})(2^{2n-2})] \\ & \dots (3) \end{aligned}$$

$$\begin{aligned} S_{2,n-1} &= \sum_{i=0}^{p-2} \left[2^{2i} + (m-1) \sum_{j=1}^{n-2i-2} m^{j-1}2^{2i+2j} \right] \\ &= \sum_{i=0}^{p-2i} 2^{2i} + (m-1) \sum_{i=0}^{p-2i} 2^{2i} \sum_{j=1}^{n-2i-2} m^{j-1}2^{2j} \\ &\leq \frac{(2^2)^{\binom{n-2}{2}+1} - 1}{3} + (m-1) \sum_{i=0}^{p-2i} 2^{2i} \left(\frac{m^{n-2i-2}}{m-1} \right) \left(\frac{4(4^{n-2i-2})}{3} \right) \\ &\leq \frac{2^n}{3} + \frac{[4(m-1)(m]^{n-2})[(4]^{n-2}]}{3(m-1)} \sum_{i=0}^{p-2i} 2^{2i} m^{-2i}2^{-4i} \\ &\leq \frac{2^n}{3} + \frac{[(m]^{n-2})[(4]^{n-1})}{3(m-1)} \sum_{i=0}^{p-2i} \frac{1}{m^{2i}2^{2i}} \\ &S_{2,n-1} \leq \frac{2^n}{3} + \frac{4[(m]^{n-2})[(4]^{n-1})}{11} \\ & \dots (4) \\ &\text{and} \\ S_{3,n-1} = \begin{cases} o & \text{if n is even} \\ 2^{n-1} & \text{if n is odd} \\ & \dots (5) \end{cases} \end{aligned}$$

Equation (2) through (5) show that (1) holds if,

$$(m-1)(m^{n-1}2^{2n}) \ge 3m \left[\frac{8}{7}(m-1)(m^{n-2}2^{2n-2}) + \frac{2^n}{3} + \frac{4[(m]^{n-2})[(4]^{n-1})}{11} + 2^{n-1} \right] + 2^{n-1} = 2^{n-1}$$

$$(m-1)(m^{n-1}2^{2n}) \ge \frac{24}{7}(m-1)[(m^{n-1}4^{n-1})] + m2^n + \frac{12[(m]^{n-1})[(4]^{n-1})}{11} + [3m(2]^{n-1}](m^{n-1})] + m2^n + \frac{12[(m]^{n-1})[(4]^{n-1}]}{11} + [3m(2]^{n-1}](m^{n-1})] + \frac{24(m-1)}{7(4)} + \frac{5(2^{n-1})}{m^{n-2}[(4]^n)} + \frac{2}{m^{n-1}[(4]^n)} + \frac{2}{m^{$$

which holds for $m \ge 3$ and $n \ge 5$. Also, $\rho(M_n) \ge 3m\rho(M_{n-1}) + 2$ for n = 3 and n = 4.

Case (2): $f(M_{(n-1)i}) \ge \rho(M_{n-1})$ for all $i (1 \le i \le m)$.

Subcase 2.1: n is odd.

If $f(R_n) = 0, 2 \text{ or } f(R_n) \ge 4$ then clearly we are done. So assume that $f(R_n) = 1 \text{ or } 3$. Then, $\rho(M_n)| 3$ or more pebbles on the $m(M_{n-1})$'s. We know that, $\rho(M_n) \ge 3m\rho(M_{n-1}) + 2$ and $\rho(M_{n-1}) \ge (m-1)(m^{n-2})(2^{2n-2})$. We have, $\rho(M_n) - m\rho(M_{n-1})$ extra pebbles on the vertices of $V(M_n) - \{R_n\}$. Thus at least one subtree $M_{(n-1)i}$ contains $2\rho(M_{n-1}) \ge 2(m-1)(m^{n-2})(2^{2n})$ extra pebbles, so at least one of the m^{n-1} paths leading to the root R_n from the bottom of the subtree has at least 2^n pebbles and hence we are done.

Subcase 2.2: n is even.

If $f(R_n) \ge 1$ then we are done. So assume that $f(R_n) = 0$. Like, Subcase 2.1, at least one of the m^{n-1} paths has 2^n or more pebbles and hence we are done.

Case (3): $f(M_{(n-1)i}) \ge \rho(M_{n-1})$ for some i.

Let $t \ge 1$ subtrees contain $\rho(M_{n-1})$ or more pebbles. Label those subtrees by $M_{(n-1)i} (1 \le i \le t)$ and label the other subtrees by $M'_{(n-1)j} (1 \le j \le m-t)$. Also let $f(M'_{(n-1)j}) = a_j$ where $a_j < \rho(M_{n-1})$ and $1 \le j \le m-t$. Clearly we can supply at least one pebble to the root R_n of M_n for every 2^n extra pebbles on $M_{(n-1)i} (1 \le i \le t)$. Also, having one additional pebble in $M'_{(n-1)j} (1 \le j \le m-t)$ is equivalent to have at least one pebble on the root vertex R_n of M_n .

Note that, we have usually used P pebbles each to cover the maximum independent set of $M_{(n-1)i}$ $(1 \le i \le t)$ and $M'_{(n-1)j}$ $(1 \le j \le m-t)$, except one subtree, say $M_{(n-1)k}$, that contains $\rho(M_{n-1})$ or more pebbles. Since $a_j < \rho(M_{n-1})$, we have $P - a_j$ extra pebbles, that are in somewhere of the graph M_n , to cover the maximum

independent set of $M'_{(n-1)j}$. So we can send $\sum_{k=0}^{\lfloor \frac{n-2}{2} \rfloor} m^{n-2k-1} 2^{n-2k-1} - \frac{a_j}{2^{n+1}}$ pebbles to the root vertex $R'_{(n-1)j}$ of $M'_{(n-1)j}$. Thus $M'_{(n-1)j}$ contains $a_j + \sum_{k=0}^{\lfloor \frac{n-1}{2} \rfloor} m^{n-2k-1} 2^{n-2k-1} - \frac{a_j}{2^{n+1}}$ pebbles. But these amounts of pebbles are at least

 $\rho(M_{n-1})$ or it is enough to cover the maximum independent set of $M'_{(n-1)j}$, using the pebbles at $R'_{(n-1)j}$ plus a_j pebbles. Thus the t-subtrees M_{2i} $(1 \le i \le t)$ plus R_2

contains $(t-1)\sum_{k=0}^{\left\lfloor\frac{n-1}{2}\right\rfloor} m^{n-2k-1}2^{n-2k-1} + Q + \gamma_n$ or more pebbles. We know that $f(M_{(n-1)i}) \ge \rho(M_{n-1}) \text{ where } 1 \le i \le t$

Subcase 3.1: n is odd.

Let $f(R_n) = 1$ or 3 (otherwise we are done easily). Then we can move a pebble to

 R_n , using at most 2^n pebbles from the subtree that contains at least $\rho(M_{n-1}) + 2^n$ pebbles and hence we are done [since $\rho(M_{n-1}) \ge (m-1)(m^{n-2})(2^{2n-2})$].

Subcase 3.2 : n is even.

Let $f(R_n) = 0$ (otherwise we are done). Like the Subcase 3.1, we can move a pebble to R_n , using at most 2^n pebbles (from the subtree that contains $\rho(M_{n-1}) + 2^n$ pebbles or more).

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